



# Simulating tokamak edge instabilities: advances and challenges

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ASDEX Upgrade



TU/e

Aix-Marseille  
université



JOREK  
u



This work has been carried out within the framework of the EUROfusion Consortium and has received funding from the Euratom research and training programme 2014-2018 under grant agreement No 633053. The views and opinions expressed herein do not necessarily reflect those of the European Commission.



**What are edge localized modes (ELMs)  
and why do we study them?**

**How do we simulate ELMs  
and what are the challenges?**

**What can we learn about ELMs  
and ELM control?**

**What are edge  
localized modes  
(ELMs) and why do  
we study them?**

- Constructed in France by international consortium
- Next step towards fusion reactor
- **Large-scale plasma instabilities are a key research topic**

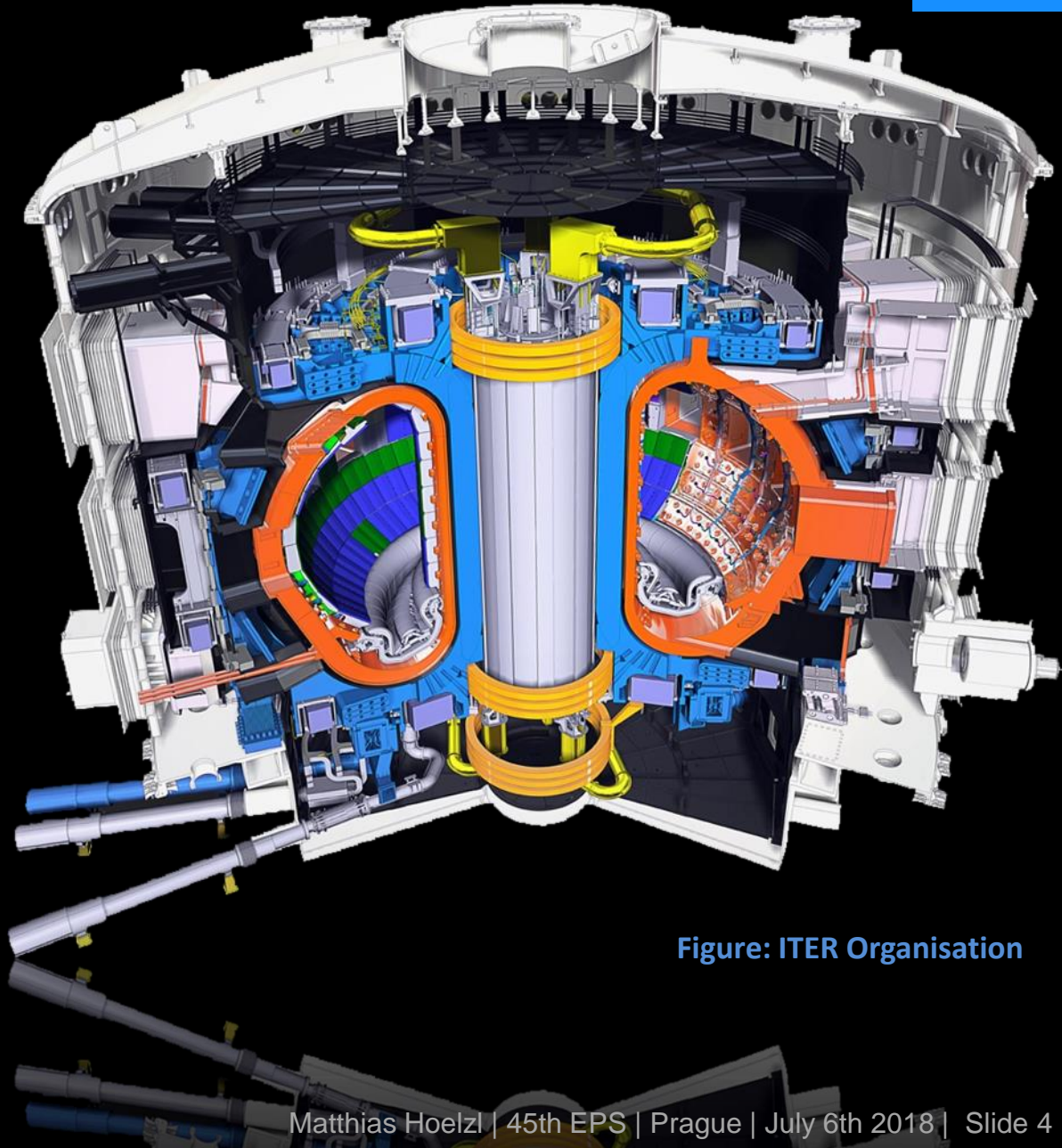
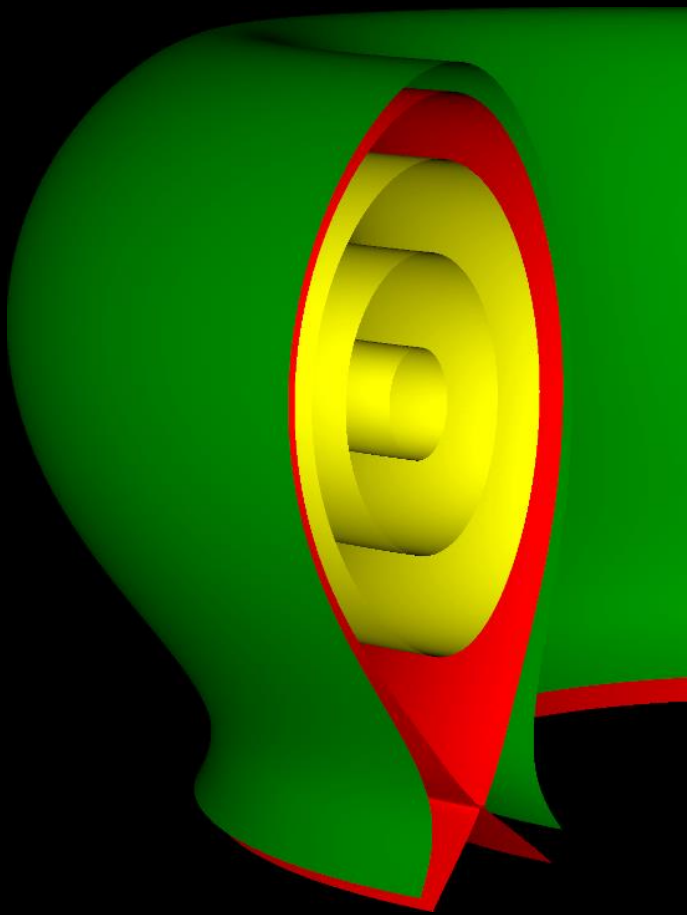
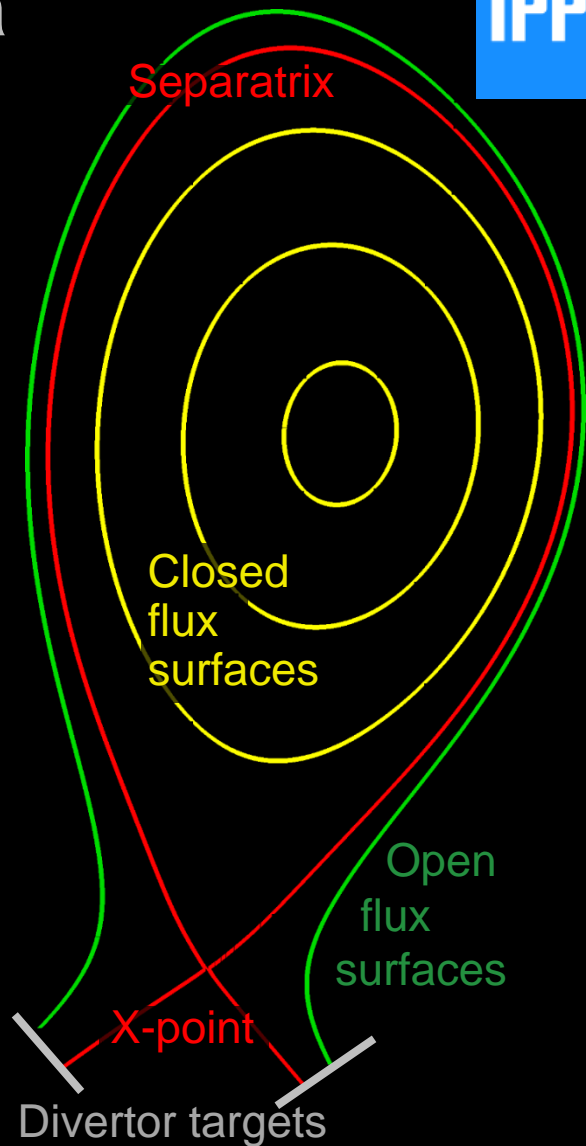


Figure: ITER Organisation



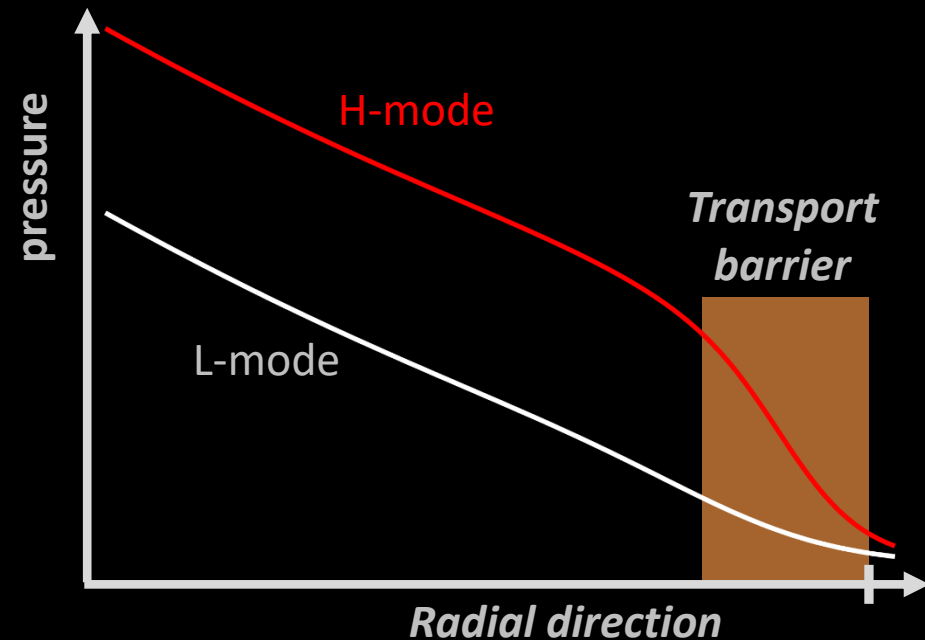
Helical field lines forming nested toroidal flux surfaces



Safety factor  $q = \frac{\text{number of toroidal turns}}{\text{number of poloidal turns}}$

“Rational surfaces”

- First observed 1982 in ASDEX divertor tokamak
  - Improved confinement
  - Edge transport barrier
  - „Short bursts which lead to periodic density and temperature reductions in the outer plasma zones.“  
[F Wagner et al, PRL 49, 1408 (1982)]



- Large edge pressure gradients and current densities



$$\delta W_F = \frac{1}{2} \int_F \left( \frac{|B_{1\perp}|^2}{2\mu_0} + \frac{B_{0\perp}^2}{2\mu_0} |\vec{\nabla} \cdot \vec{\xi}_\perp + 2\vec{\xi}_\perp \cdot \vec{\kappa}|^2 + \gamma p_0 |\vec{\nabla} \cdot \vec{\xi}|^2 \right. \\ \left. - 2(\vec{\xi}_\perp \cdot \vec{\nabla} p_0)(\vec{\kappa} \cdot \vec{\xi}_\perp^*) - \frac{j_{0\parallel}}{B_0} (\vec{\xi}_\perp^* \times \vec{B}_0) \cdot \vec{B}_1 \right) dV$$



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*stabilizing terms*

$$- 2(\vec{\xi}_\perp \cdot \vec{\nabla} p_0)(\vec{\kappa} \cdot \vec{\xi}_\perp^*) - \frac{j_{0\parallel}}{B_0} (\vec{\xi}_\perp^* \times \vec{B}_0) \cdot \vec{B}_1$$



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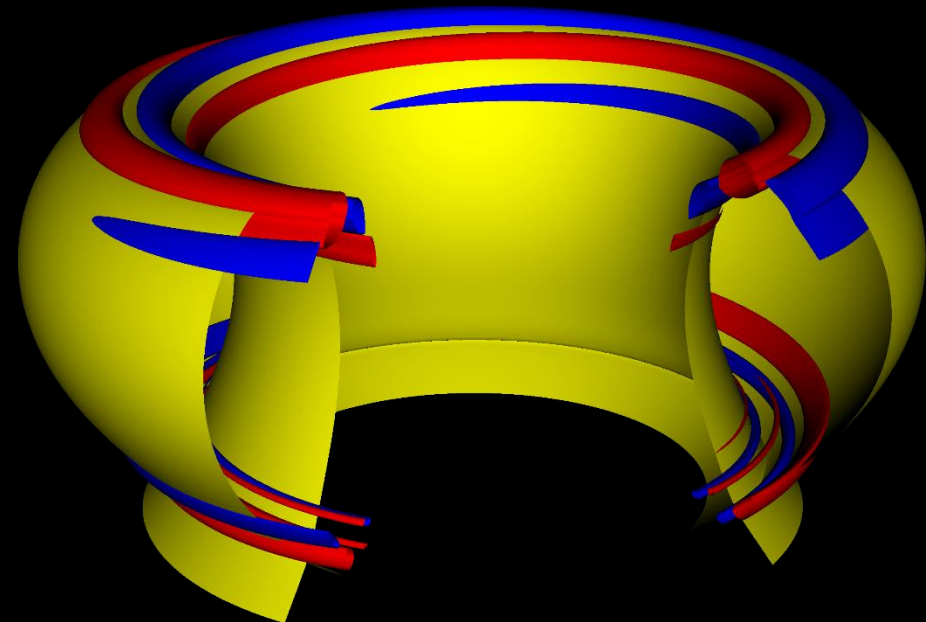
*stabilizing terms*

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**Peeling mode**

Low mode number

Current driven



$$\delta W_F = \frac{1}{2} \int_F \left( \frac{|B_{1\perp}|^2}{2\mu_0} + \frac{B_{0\perp}^2}{2\mu_0} |\vec{\nabla} \cdot \vec{\xi}_\perp + 2\vec{\xi}_\perp \cdot \vec{\kappa}|^2 + \gamma p_0 |\vec{\nabla} \cdot \vec{\xi}|^2 \right) dV \quad \text{stabilizing terms}$$

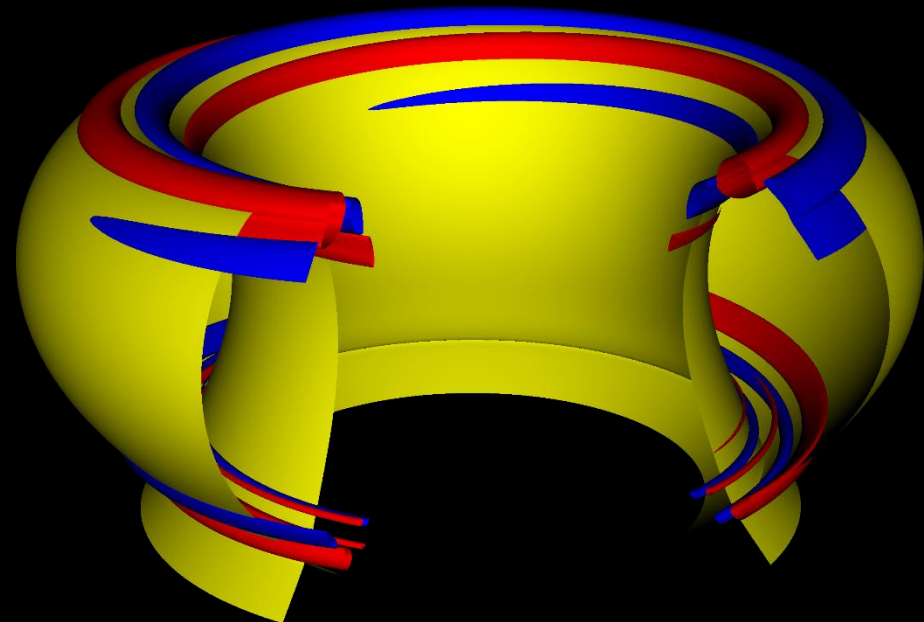
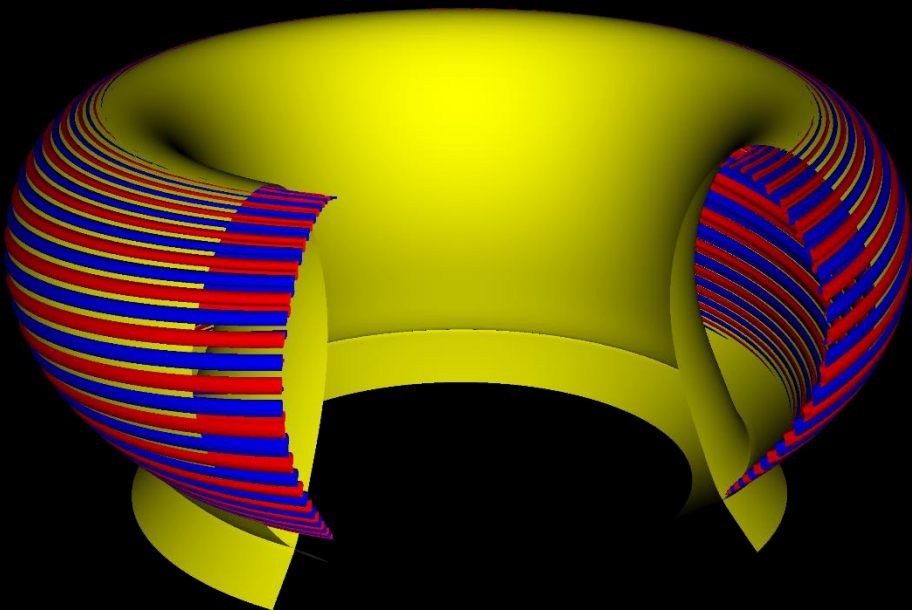
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**Ballooning mode**

- High mode number
- Pressure gradient driven
- Localized to outboard side

**Peeling mode**

- Low mode number
- Current driven



$$\delta W_F = \frac{1}{2} \int_F \left( \frac{|B_{1\perp}|^2}{2\mu_0} + \frac{B_{0\perp}^2}{2\mu_0} |\vec{\nabla} \cdot \vec{\xi}_\perp + 2\vec{\xi}_\perp \cdot \vec{\kappa}|^2 + \gamma p_0 |\vec{\nabla} \cdot \vec{\xi}|^2 \right) dV$$

*stabilizing terms*

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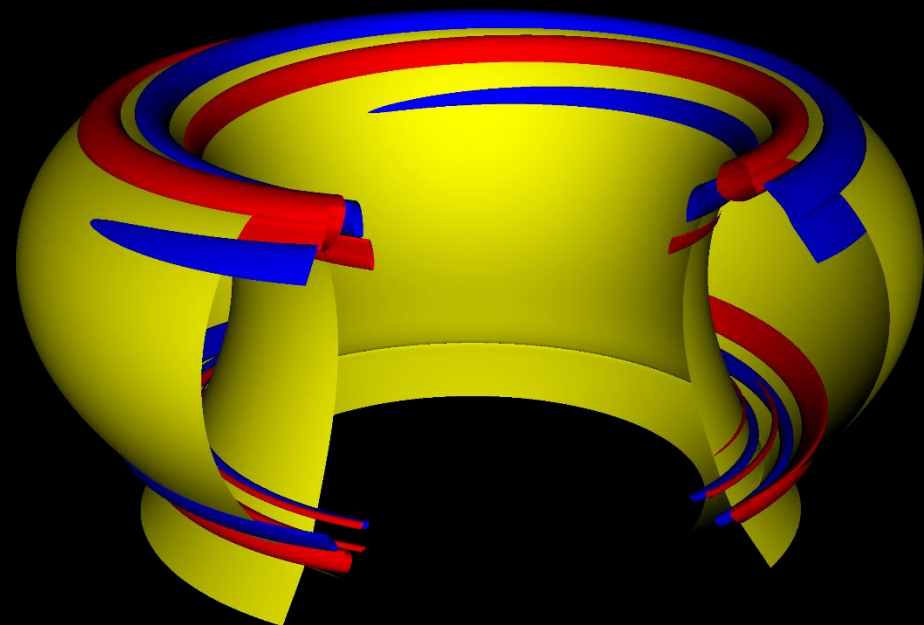
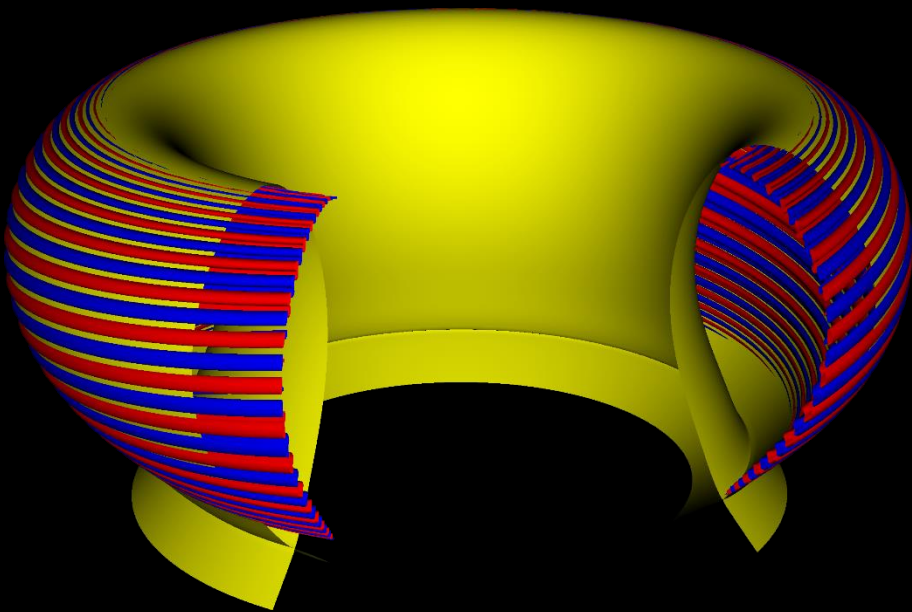
**Ballooning mode**

High mode number  
 Pressure gradient driven  
 Localized to outboard side

**Peeling mode**

Low mode number  
 Current driven

**ELMs are the non-linear consequences of peeling-ballooning modes**



**Why do  
we study ELMs?**

... ELMs are interesting

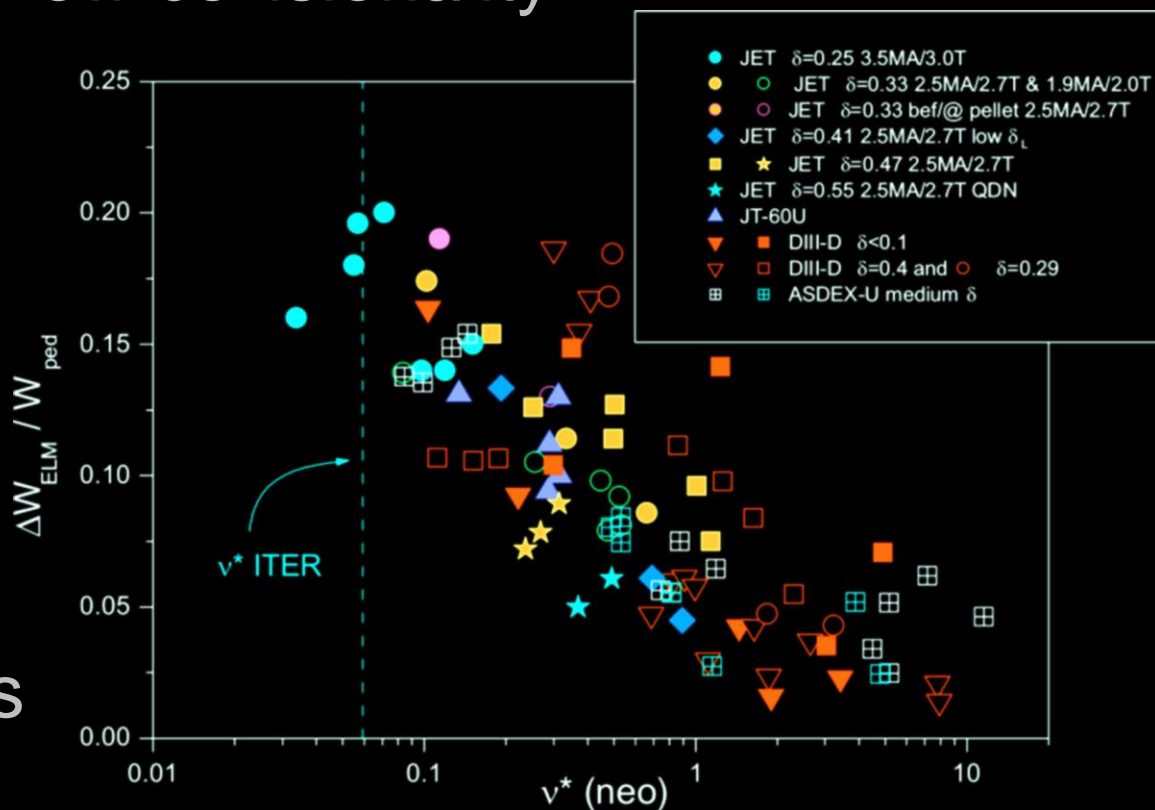
- Precursors
- Explosive onset
- Magnetic reconnection
- Filament formation
- Potentially harmful particle and energy release
- Challenge for simulations



- Fast periodic crash of plasma edge profiles
- Large peak heat fluxes to divertor
- Losses increase at low collisionality

- **Risk of strongly reduced ITER divertor life-time**

- Frequent small ELMs might help to control impurities

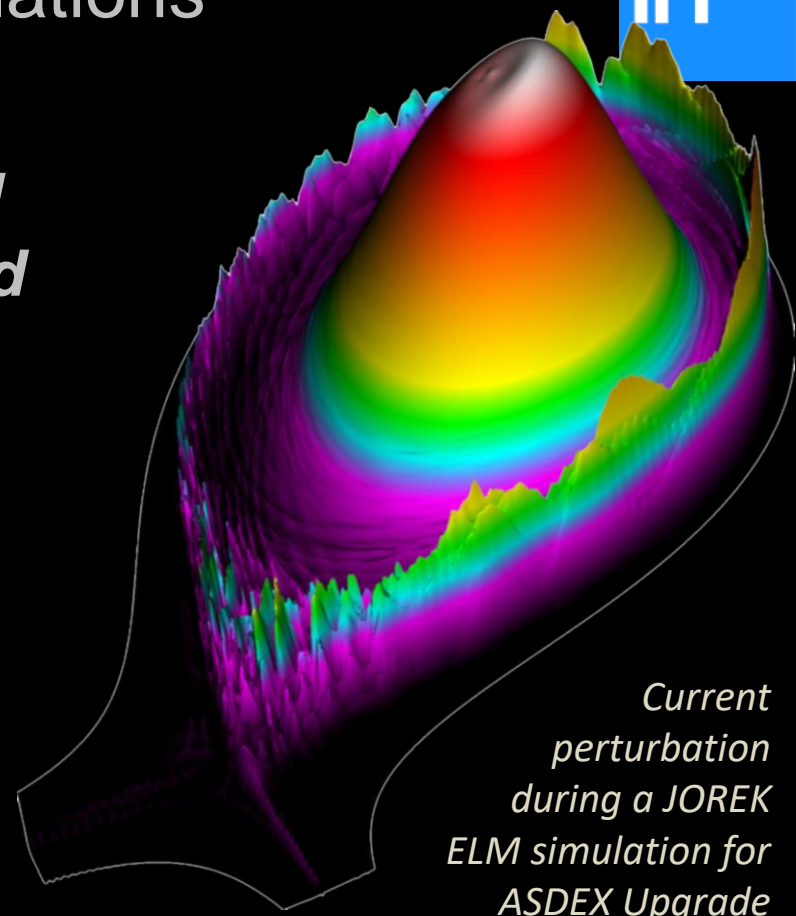


[A Loarte et al, PPCF 45, 1549 (2003)]

**How do we simulate  
ELMs and what are the  
challenges?**



- **Aim: Extrapolation of ELMs and their control to ITER and beyond**
- **Multi-scale**  
temporal and spatial
- **Multi-physics**  
plasma, impurities, fast particles, scrape off layer, sputtering, electro-magnetic interactions...
- **Magnetic topology and high anisotropy**
- **Non-linear MHD codes** for studying ELMs in realistic X-point geometry: BOUT++, JOREK, M3D, NIMROD, ...  
Review: [GTA Huijsmans et al, PoP 22, 021805 (2015)]

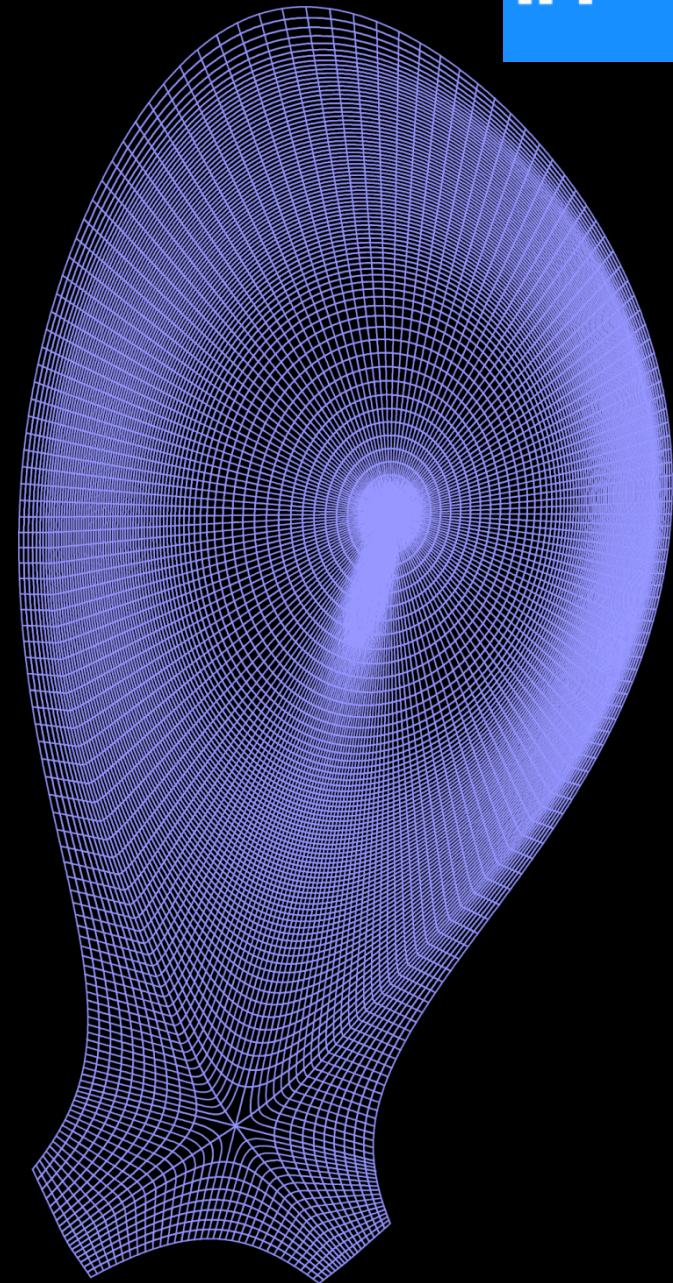


*Current perturbation during a JOREK ELM simulation for ASDEX Upgrade*





- 2D Bezier finite elements
- Flux-surface aligned X-point grid
- Toroidal Fourier series
- Fully implicit time stepping  
[O Czarny and G Huysmans, JCP 227, 7423 (2008)]
- Large time steps depending only on physics time scales



$$\vec{B} = \frac{F_0}{R} \vec{e}_\phi + \frac{1}{R} \nabla \psi \times \vec{e}_\phi$$

Toroidal  
(constant in time)      poloidal

$$\vec{v}_{\text{tot}} = \vec{v}_{\parallel} + \vec{v}_E + \vec{v}_{*i}$$

Parallel      ExB      diamagnetic

[HR Strauss, *The Physics of Fluids* 19, 134 (1976)]  
 [GTA Huysmans and O Czarny, *NF* 47, 659 (2007)]  
 [F Orain, M Becoulet et al, *PoP* 20, 102510 (2013)]  
 [E Franck, M Hoelzl, et al, *ESAIM: M2AN* 49, 1331 (2015)]

Perpendicular velocity

$$\rho \frac{d\vec{v}_E}{dt} = -\rho \vec{v}_{*i} \cdot \nabla \vec{v}_E - \nabla_{\perp} p + \vec{J} \times \vec{B}$$

Parallel velocity

$$\rho \frac{d\vec{v}_{\parallel}}{dt} = -\rho \vec{v}_{\parallel} \cdot \nabla \vec{v}_{\parallel} - \nabla_{\parallel} p + \mu \nabla^2 \vec{v}_{\parallel}$$

Poloidal magnetic flux

$$\frac{\partial \psi}{\partial t} = \eta(j - j_A) + R[\psi, \Phi] - \frac{\partial \Phi}{\partial \phi} - \frac{\delta^* R}{\rho} [\psi, p_e] + \frac{\delta^*}{\rho} \frac{\partial p_e}{\partial \phi}$$

Density

$$\frac{\partial \rho}{\partial t} = -\nabla \cdot (\rho \vec{v}_{\text{tot}}) + \nabla \cdot (D_{\perp} \nabla_{\perp} \rho) + S_{\rho}$$

**+ many extensions**

Pressure

$$\frac{\partial p}{\partial t} = -\vec{v}_E \cdot \nabla p - \gamma p \nabla \cdot \vec{v}_E + \nabla \cdot (\kappa_{\perp} \nabla_{\perp} T + \kappa_{\parallel} \nabla_{\parallel} T) + S_T$$



- **ELMs and ELM control – this presentation**

[GTA Huysmans and O Czarny, NF 47, 659 (2007)]

*Disclaimer: I'm by far not able to show all activities (ITER, JET, AUG, JT60-SA, MAST-U, TCV, WEST,...)*

- **Disruptions**

- Disruption onset, tearing modes, mode locking and control

[J Pratt, GTA Huijsmans, E Westerhof, PoP 23, 102507 (2016)]

[D Meshcheriakov, M Hoelzl, V Igochine et al (in preparation)] + Poster P5.1033 at this conference

- Disruptions and disruption mitigation

[A Fil, E Nardon, M Hoelzl, GTA Huijsmans, et al, PoP 22, 062509 (2015)]

[E Nardon, A Fil, M Hoelzl, GTA Huijsmans et al, PPCF 59, 014006 (2016)]

[D Hu, E Nardon et al, PoP (submitted)] + Poster P4.1043 at this conference

- Vertical displacement events and Halo currents

[M Hoelzl, P Merkel et al, JPCS 561, 012011 (2014)]

[FJ Artola, GTA Huijsmans, M Hoelzl et al (in preparation)]

- Runaway electrons

[C Sommariva, E Nardon et al, NF 58, 016043 (2018)]

[V Bandaru, M Hoelzl et al (in preparation)]

- **Fast particle physics**

[A Dvornova, GTA Huijsmans et al, in preparation] + Poster P2.1052 at this conference

- **ITG turbulence**

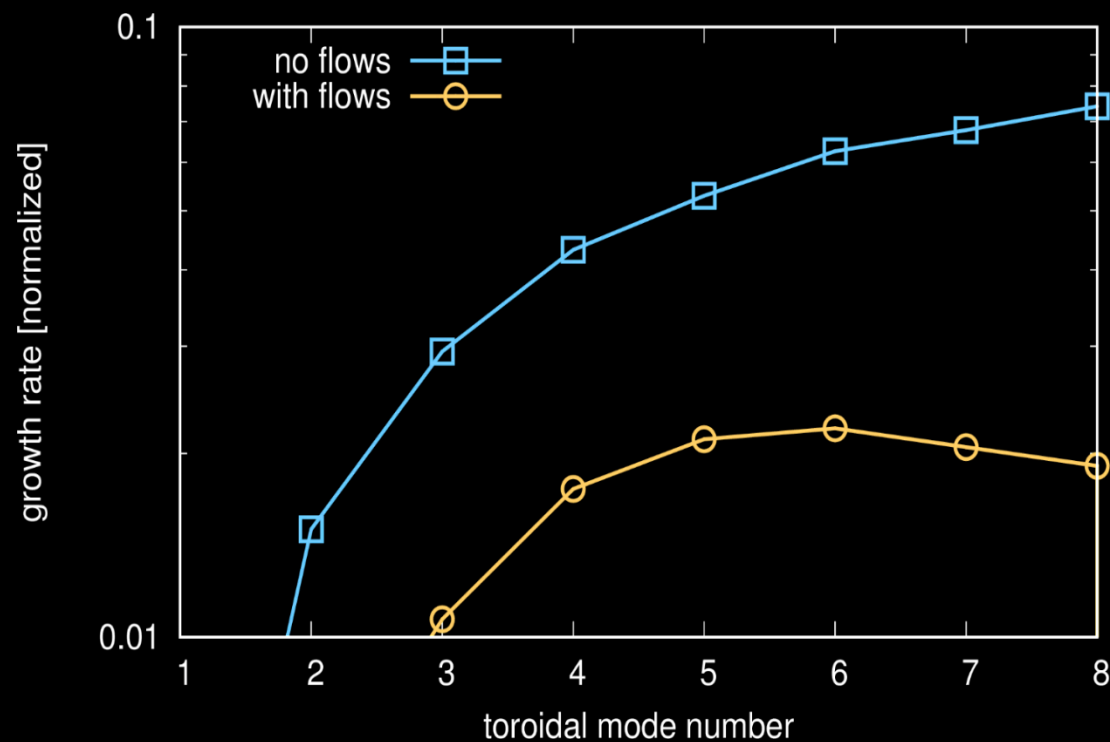
[M Becoulet, GTA Huijsmans, J Zielinski et al, in preparation]

**What can we learn  
about ELMs?**



- ASDEX Upgrade simulations for discharge #33616 with realistic plasma parameters (resistivity  $\approx$  Spitzer predictions + neoclassical corrections)  
[M Hoelzl et al, CPP; doi:10.1002/ctpp.201700142]

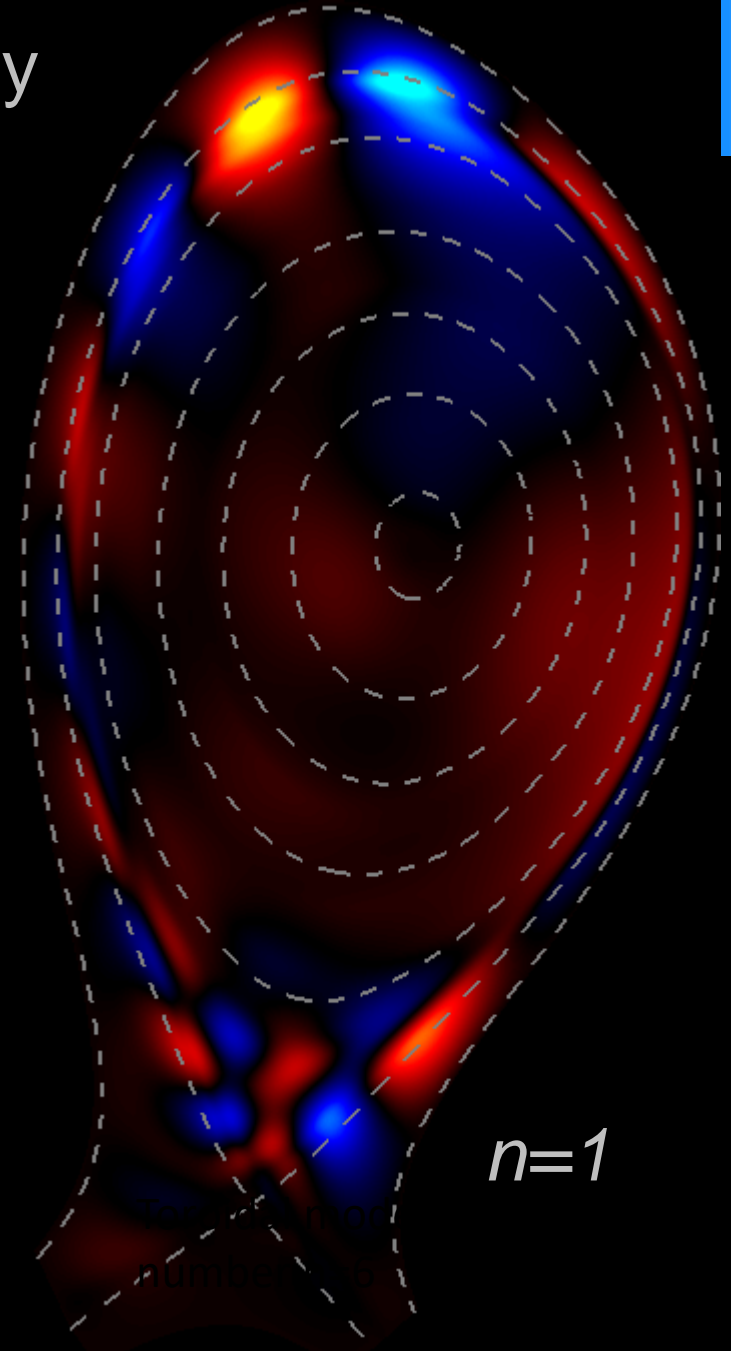
- Important influence of ExB, diamagnetic, and toroidal flows



# Linear instability

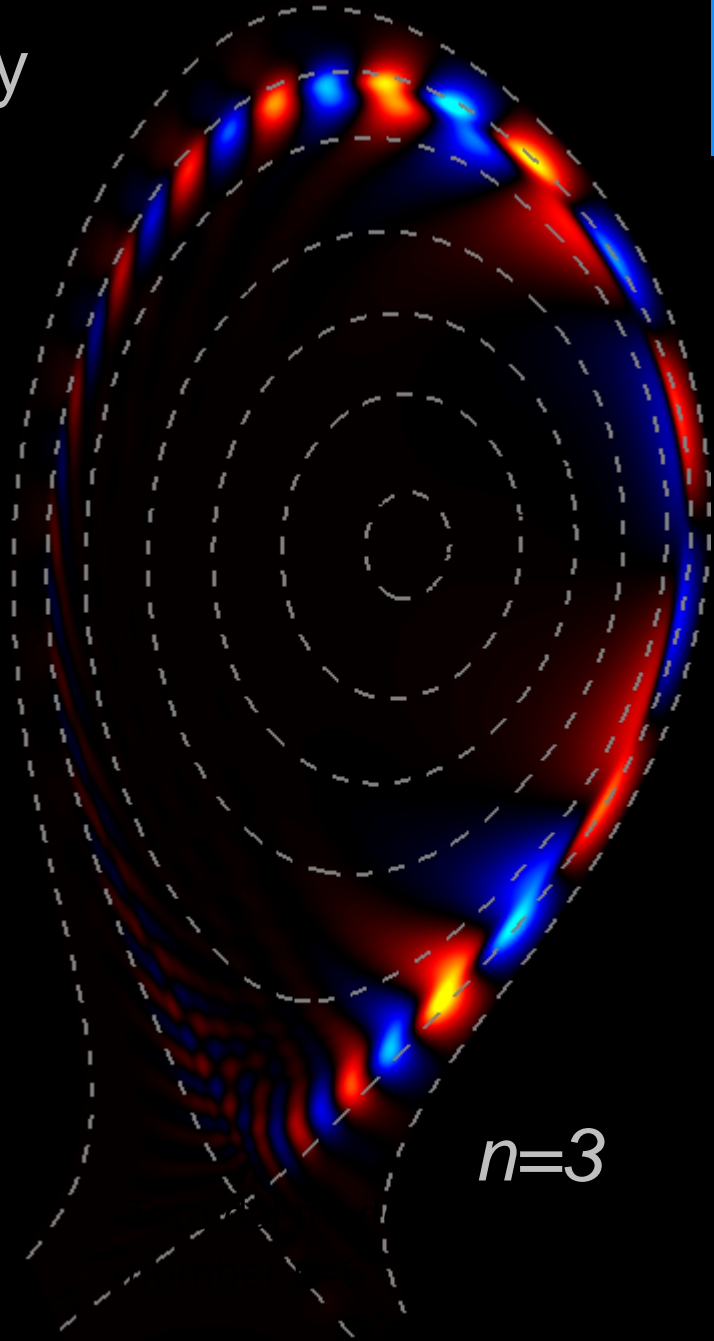
- Low- $n$ : peeling structure
- High- $n$ : ballooning structure
- $n=6$  dominant,  
 growth rate  $(4 \pm 1) \cdot 10^4 s^{-1}$   
 (uncertainty from equilibrium reconstruction)  
 [M Hoelzl et al, CPP; doi:10.1002/ctpp.201700142]

- ASDEX Upgrade #33616:  
 [F Mink, M Hoelzl, E Wolfrum et al, NF 58 026011 (2018)]
  - Growth rate  $(5 \pm 2) \cdot 10^4 s^{-1}$
  - Ballooning structure



# Linear instability

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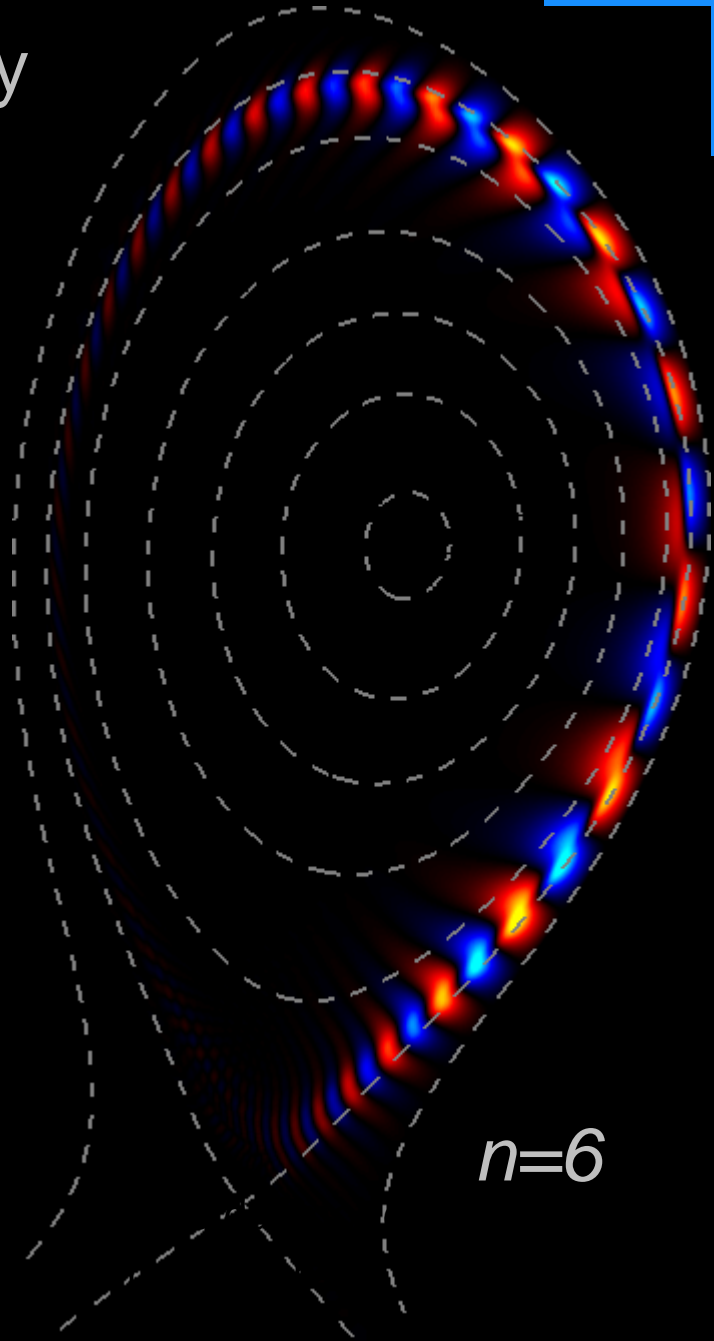


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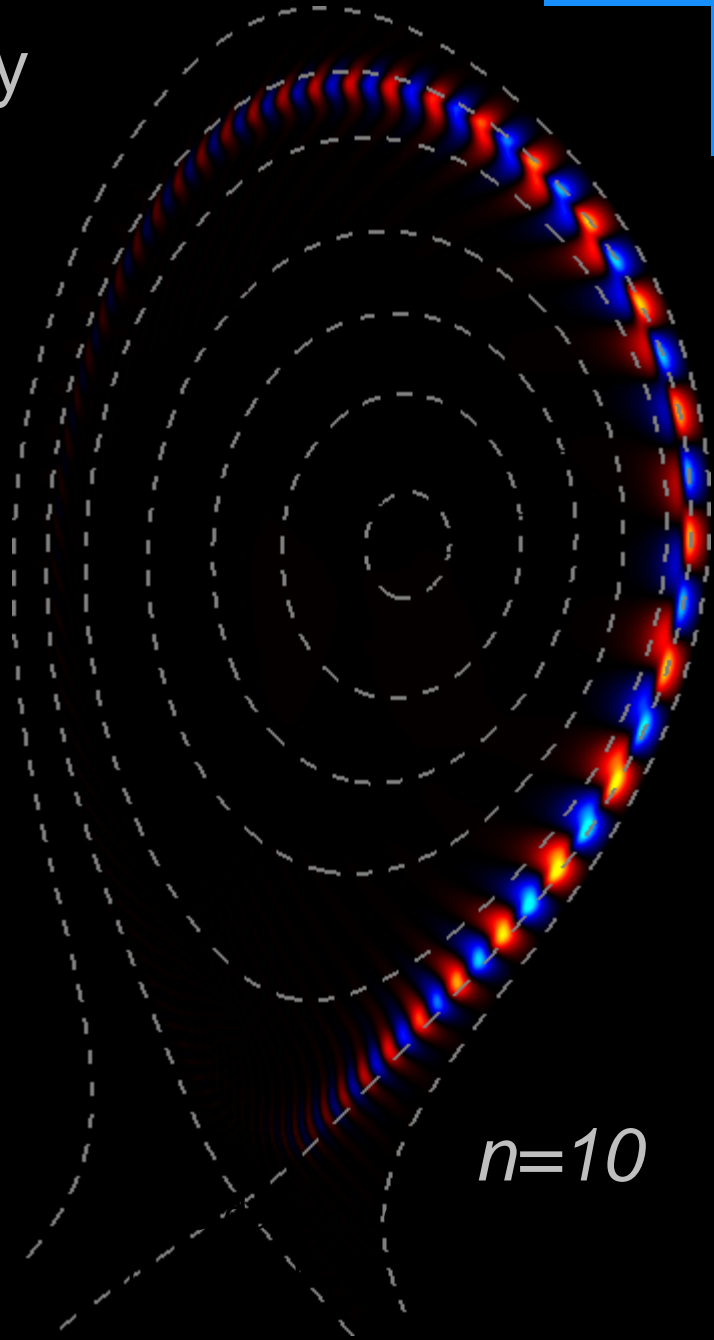




# Linear instability

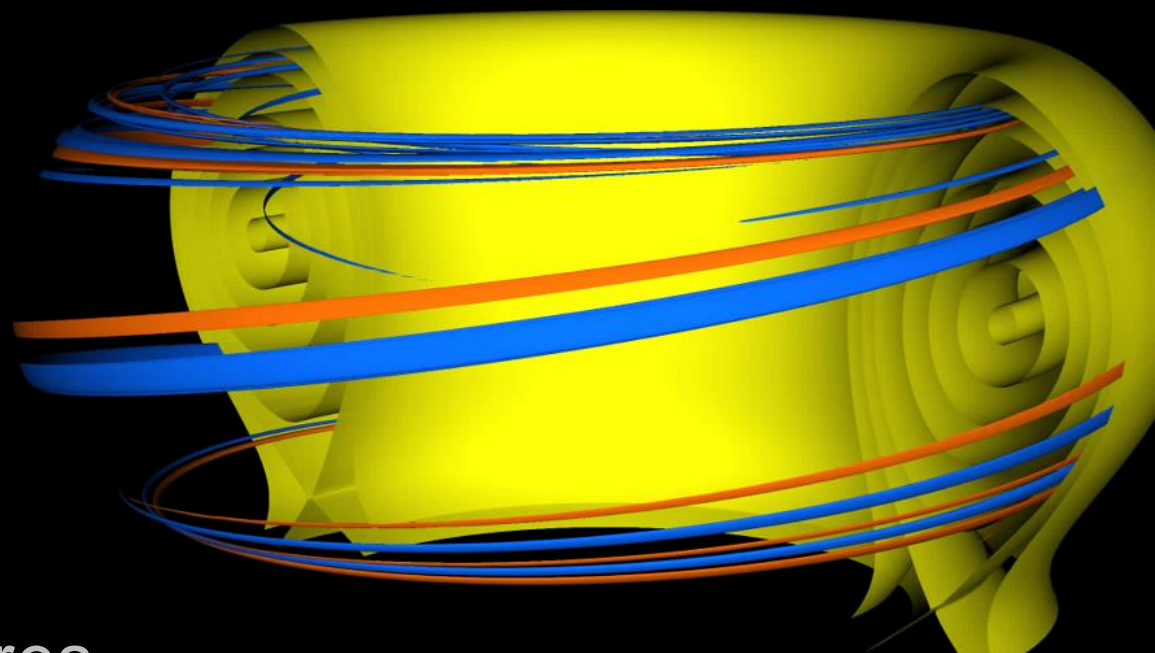
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- Drives low- $n$  harmonics [I Krebs, M Hoelzl et al, PoP 20, 082506 (2013)]
- Localized ELM structures [M Hoelzl et al, PoP 19, 082505 (2012)]

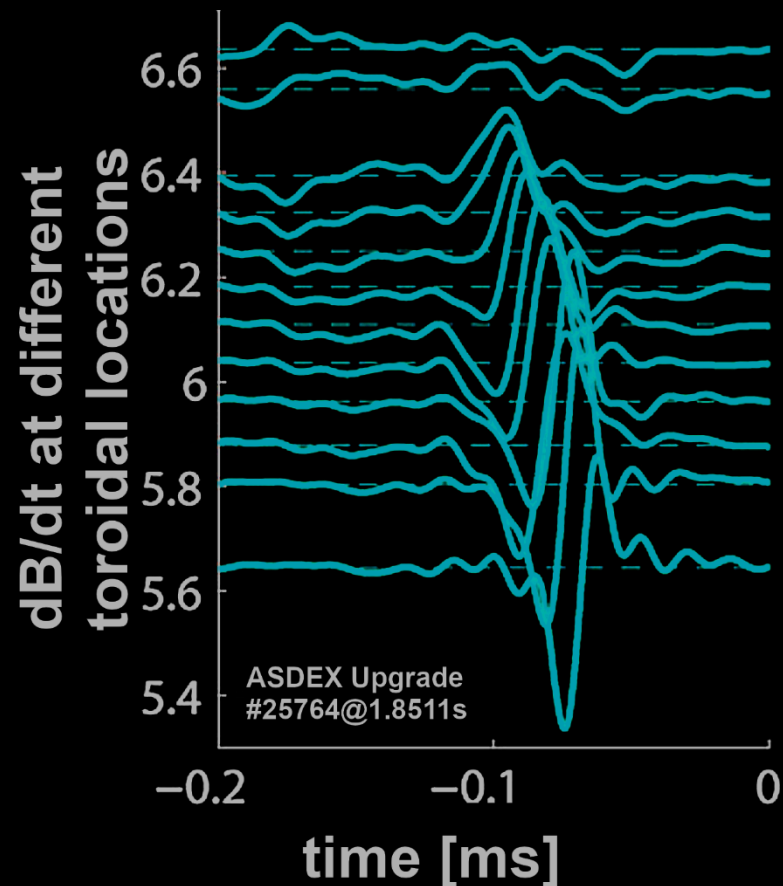


- Experiment:
  - solitary structures [RP Wenninger et al, NF 52, 114025 (2012)]
  - low- $n$  features [RP Wenninger et al, NF 53, 113004 (2013)]
  - mode coupling [B Vanovac et al, NF (submitted)]

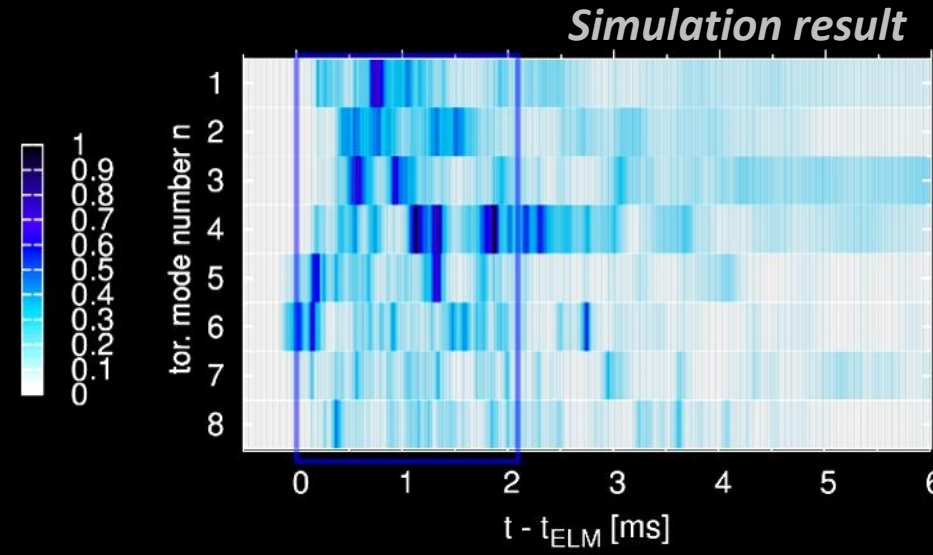


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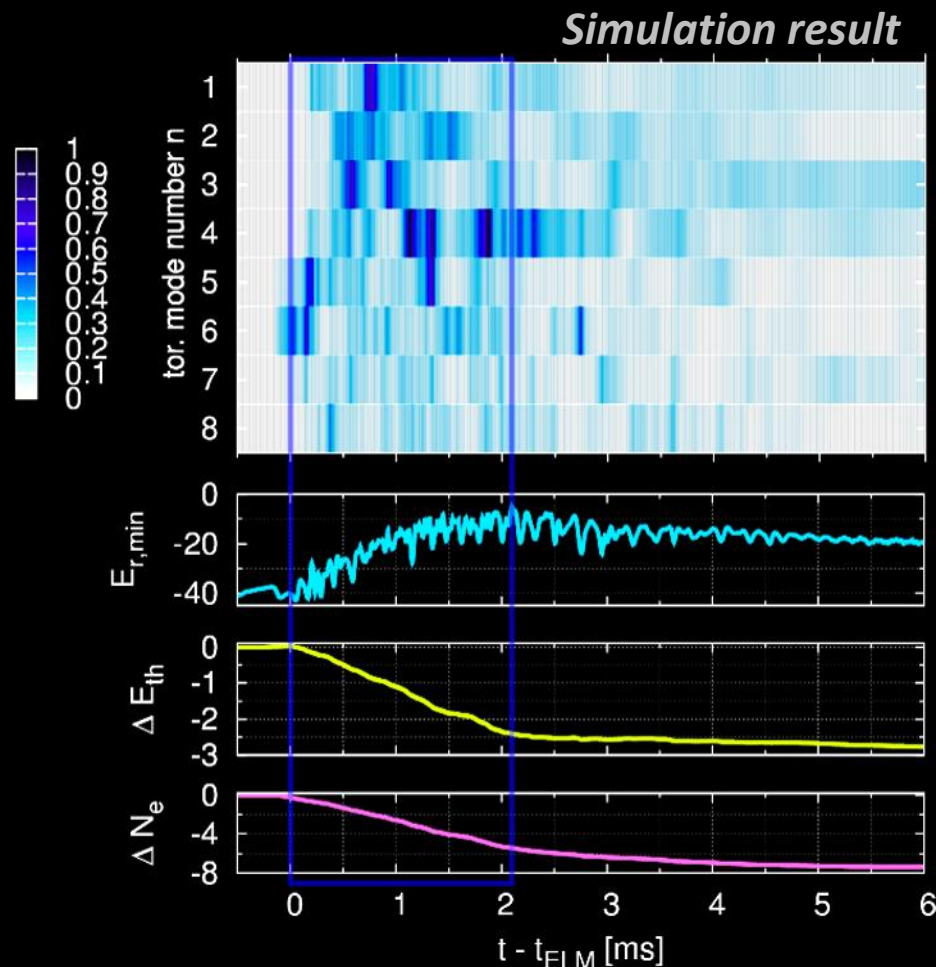


- Duration: ~2 ms  
Experiment: ~2 ms
- Dominant n: 4 (1...5 significant)  
Experiment: 3 (2...5 significant)

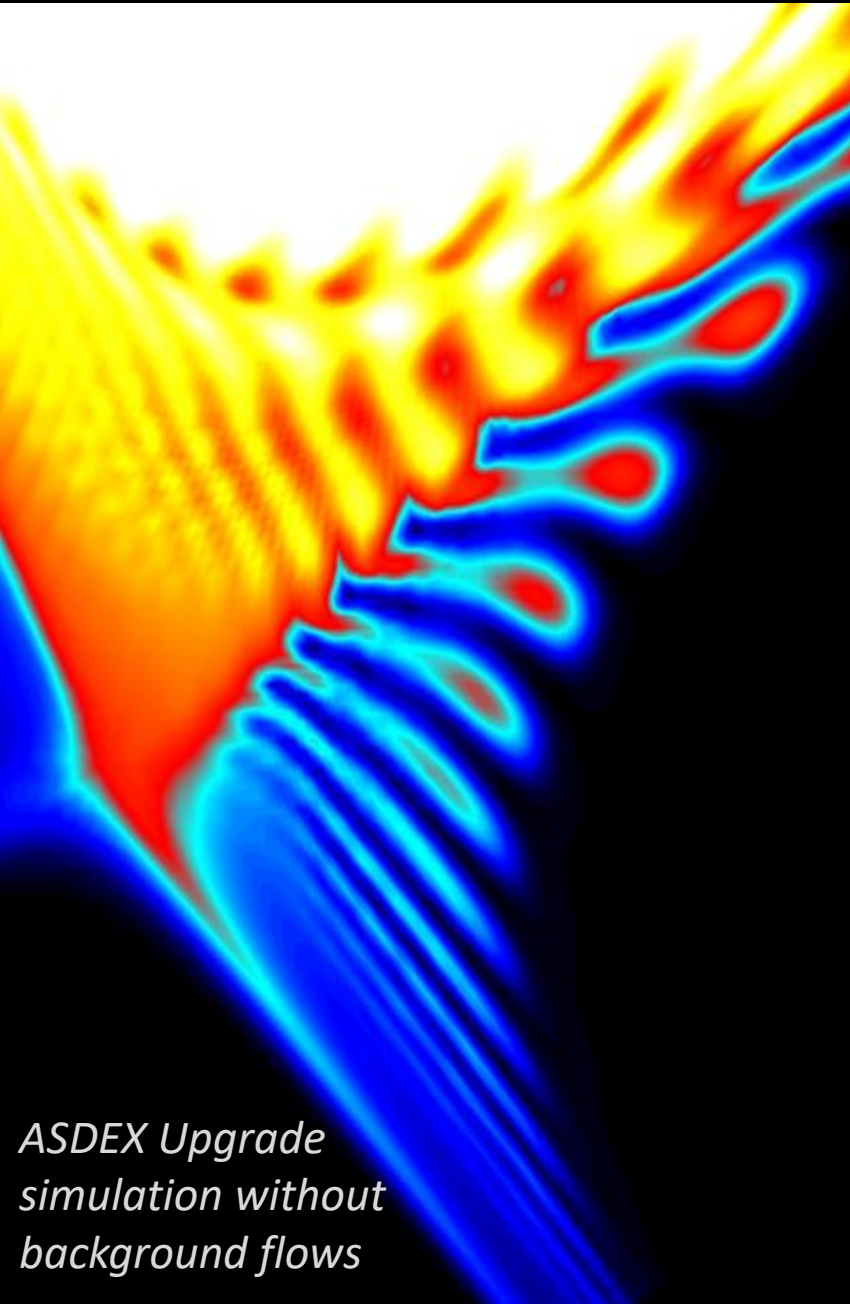




- Duration: ~2 ms  
Experiment: ~2 ms
- Dominant n: 4 (1...5 significant)  
Experiment: 3 (2...5 significant)
- $E_r$  drop: -35 to -12 kV/m  
Experiment: -40 to -10 kV/m
- Energy losses: 3%  
Experiment: 6%
- Particle losses: 7%  
Experiment: 8%



**Important role of background flows and non-linear mode coupling**

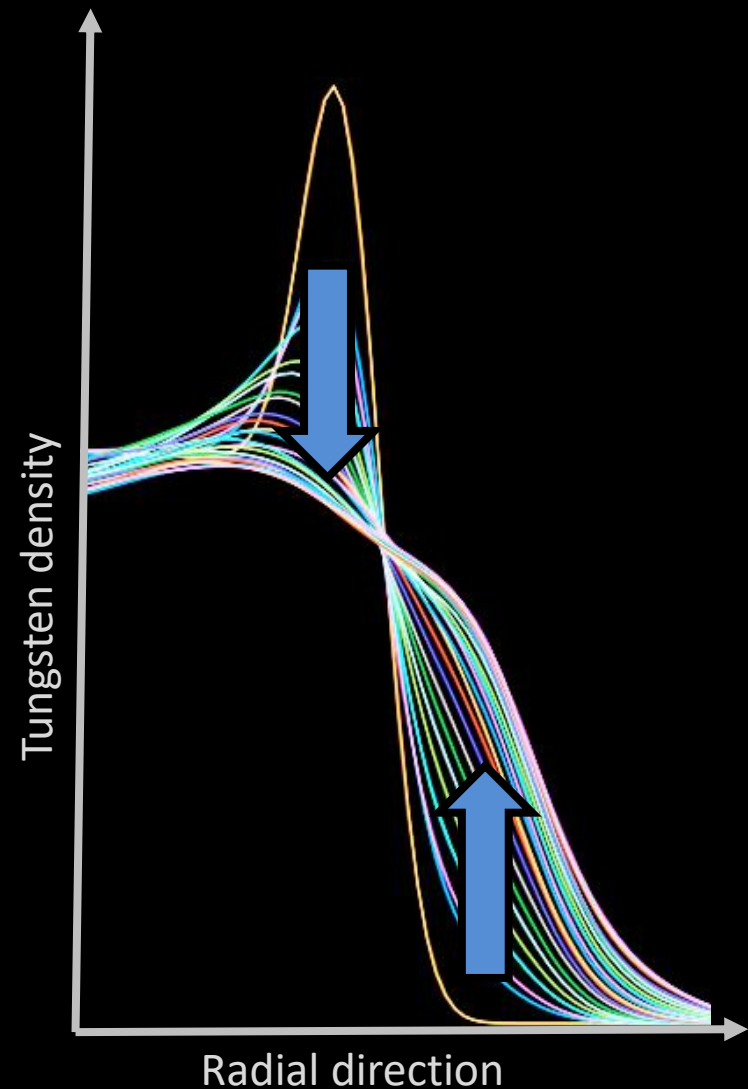
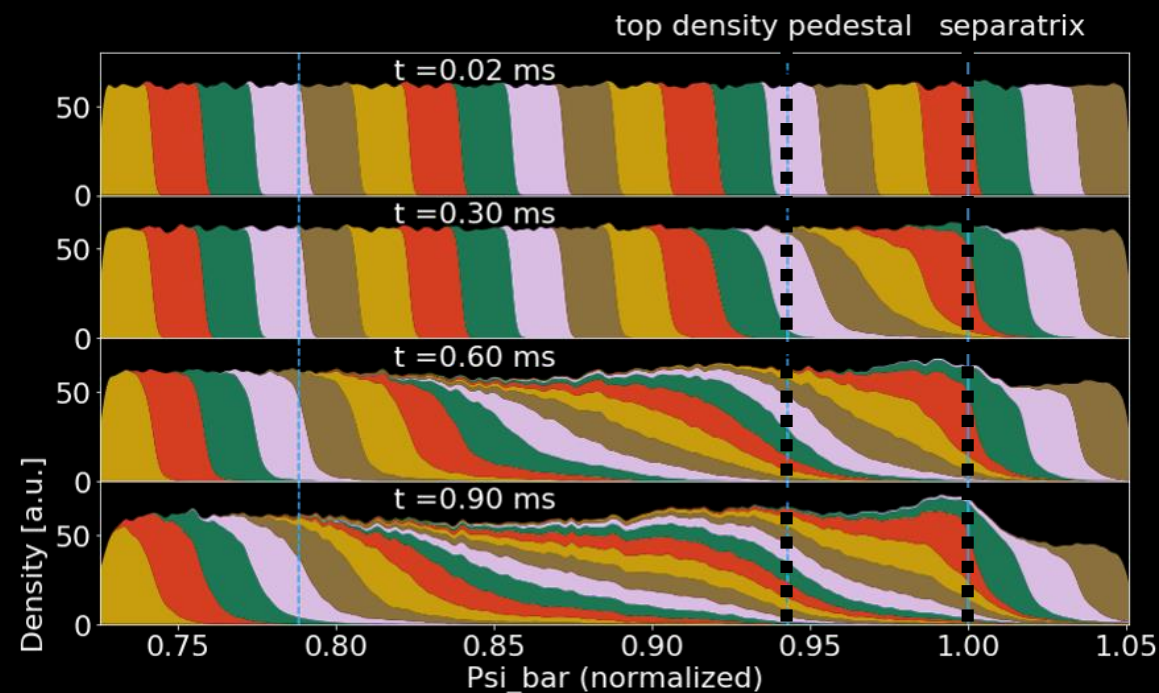


- Ballooning fingers produced by interchange-like ExB inward and outward motion
- Formation of **filaments** due to poloidal shear flows similar to experiment
  - Radial velocity  $\sim 1$  km/s  
e.g. [A Schmid et al, PPCF 50, 045007 (2008)]
  - Several filament bursts during “long ELMs”  
e.g., [L Frassinetti et al, NF 57, 022004 (2017)]
- **Convective losses**

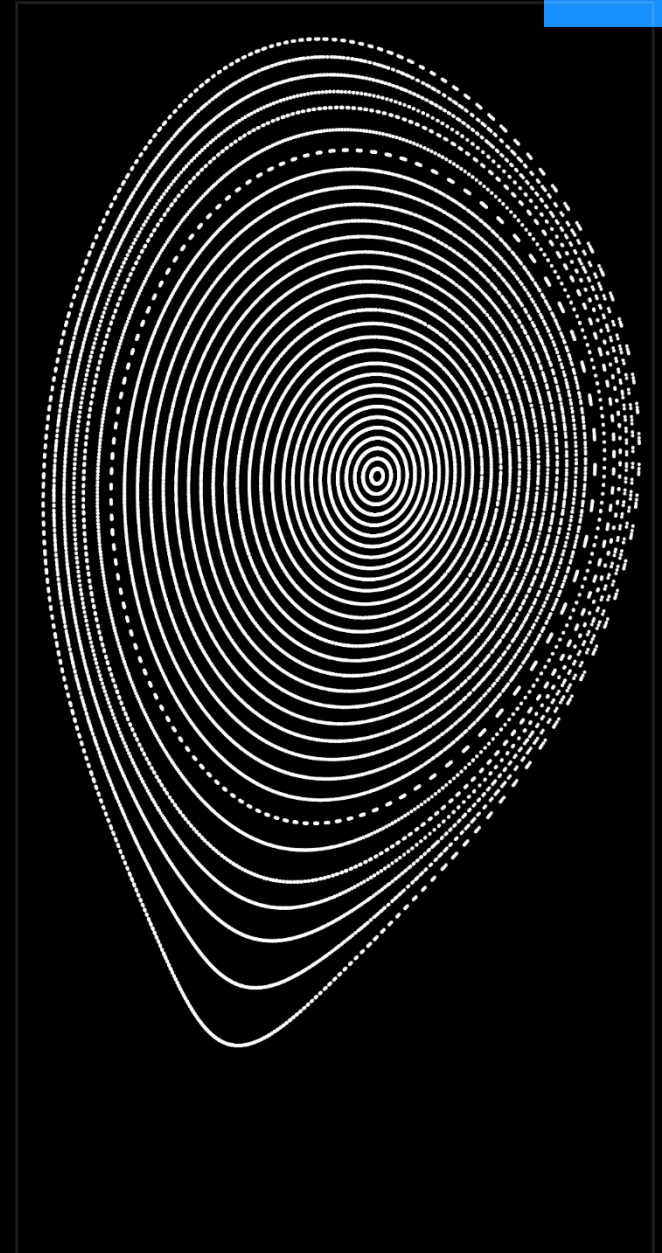
*ASDEX Upgrade  
simulation without  
background flows*

- ExB interchange motion during ELM crash in ASDEX Upgrade
- Now: collisions, sputtering and coupling to MHD being added

[DC van Vugt, GTA Huysmans, M Hoelzl et al, NF (submitted)]  
 + Poster P1.1049 at this conference

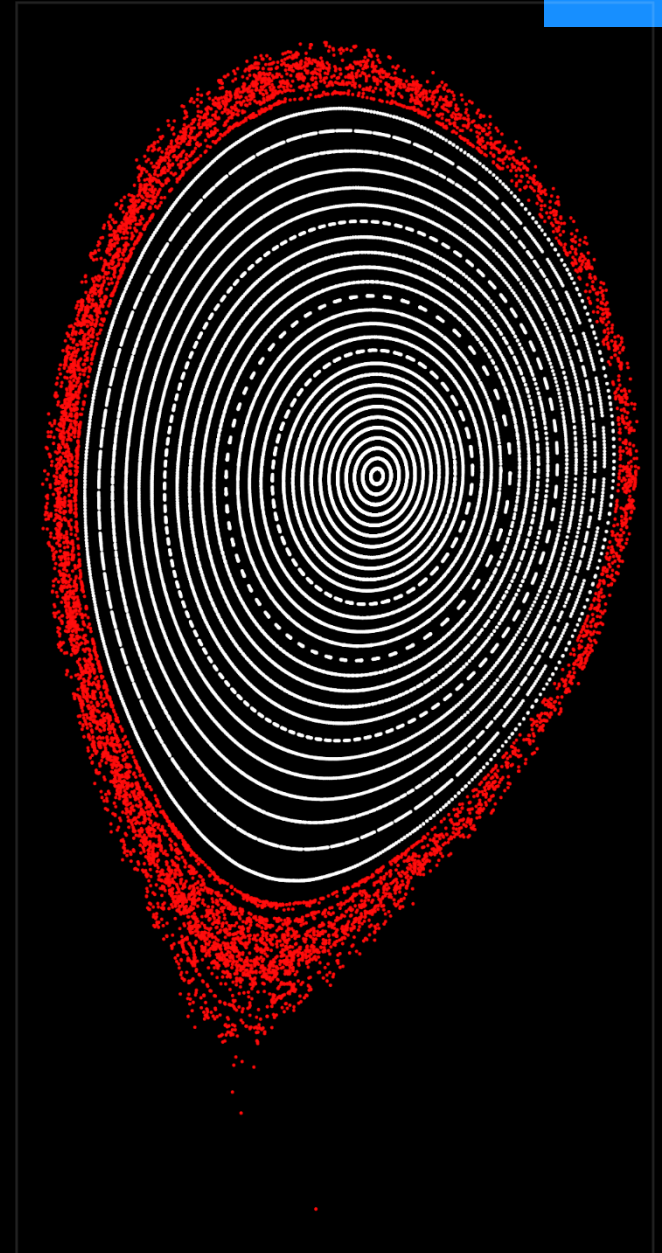


- During the ELM crash, magnetic reconnection causes a **stochastic field** at the plasma boundary

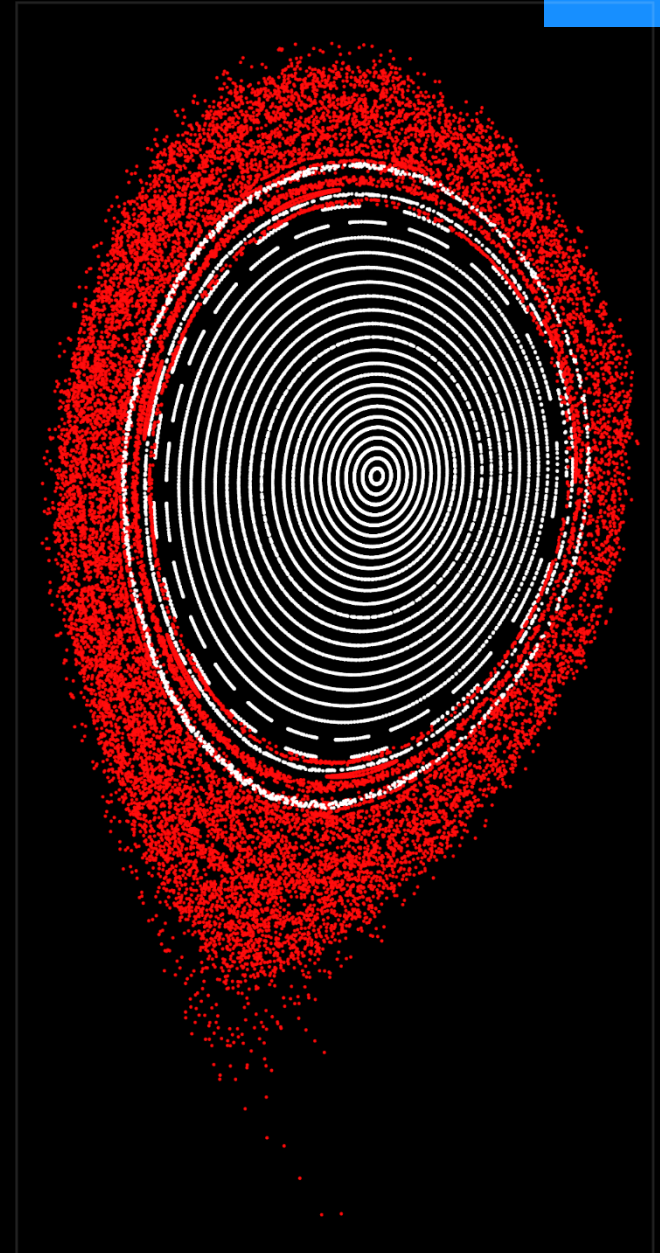


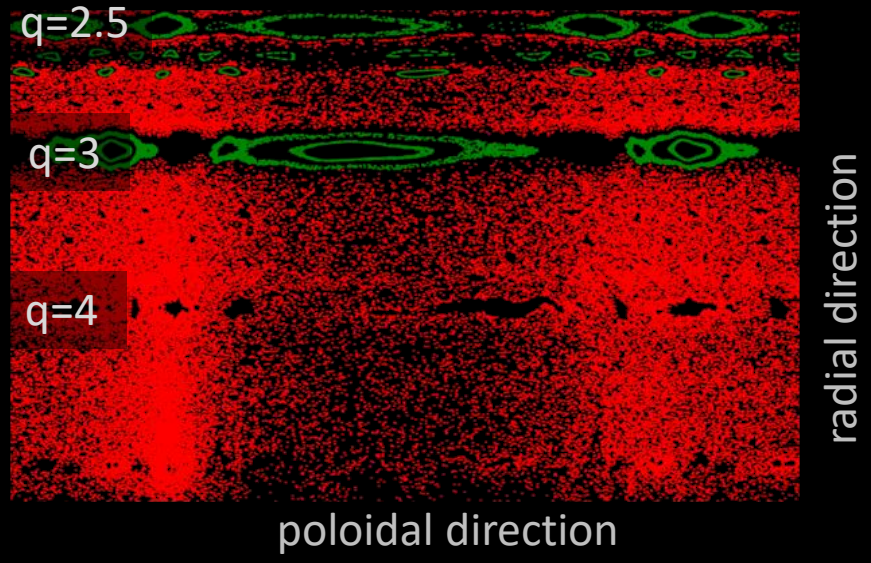


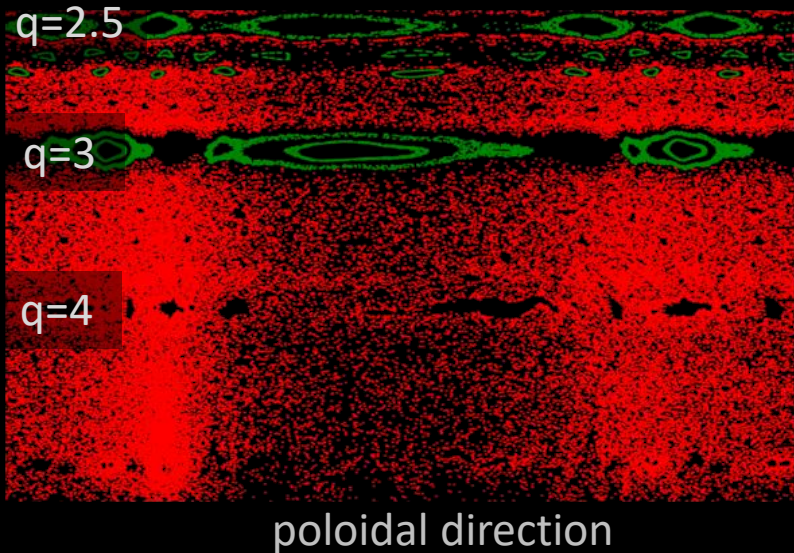
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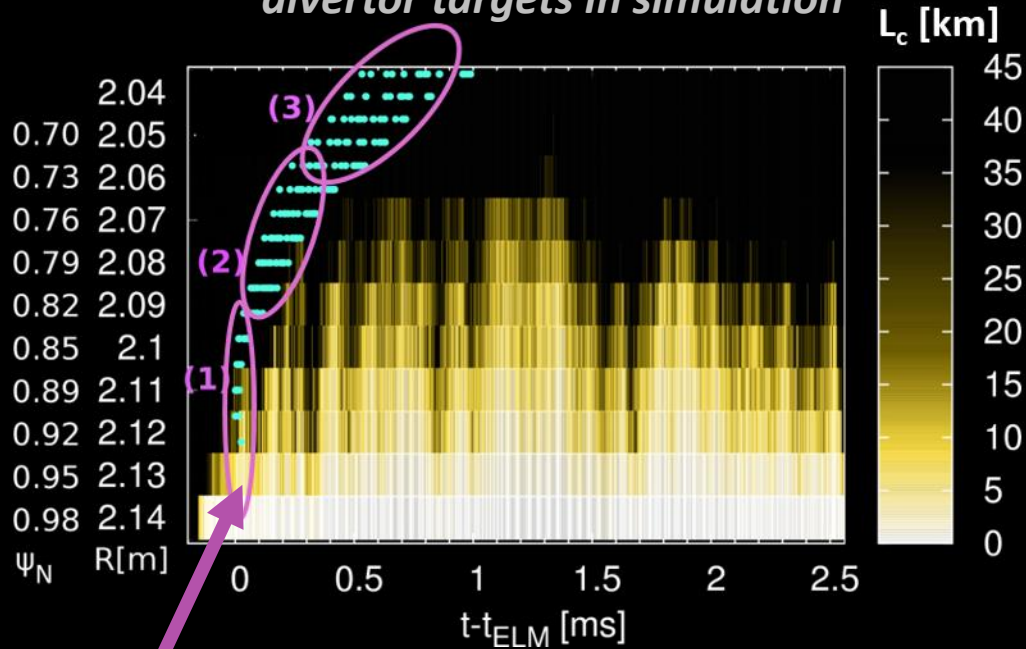
- During the ELM crash, magnetic reconnection causes a **stochastic field** at the plasma boundary
- Direct connection of field lines to the divertor target
- **Conductive losses along magnetic field lines**







*Evolution of connection length to divertor targets in simulation*



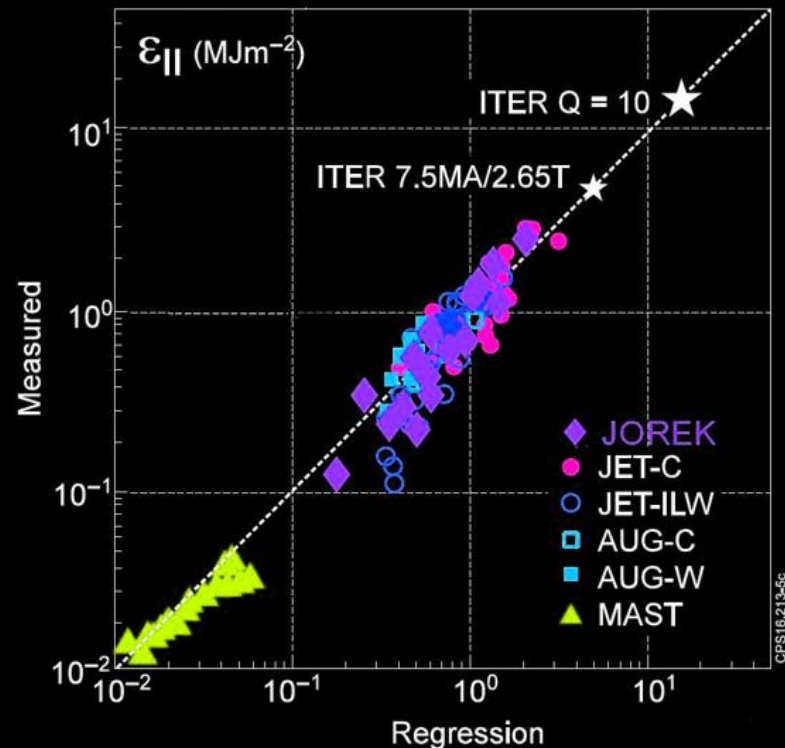
Consistent with “ELM cold front penetration” in the experiment  
 [E Trier, E Wolfrum et al, PPCF (submitted)]

- ELM energy fluence to divertor agrees well between experiment and a series of JET simulations

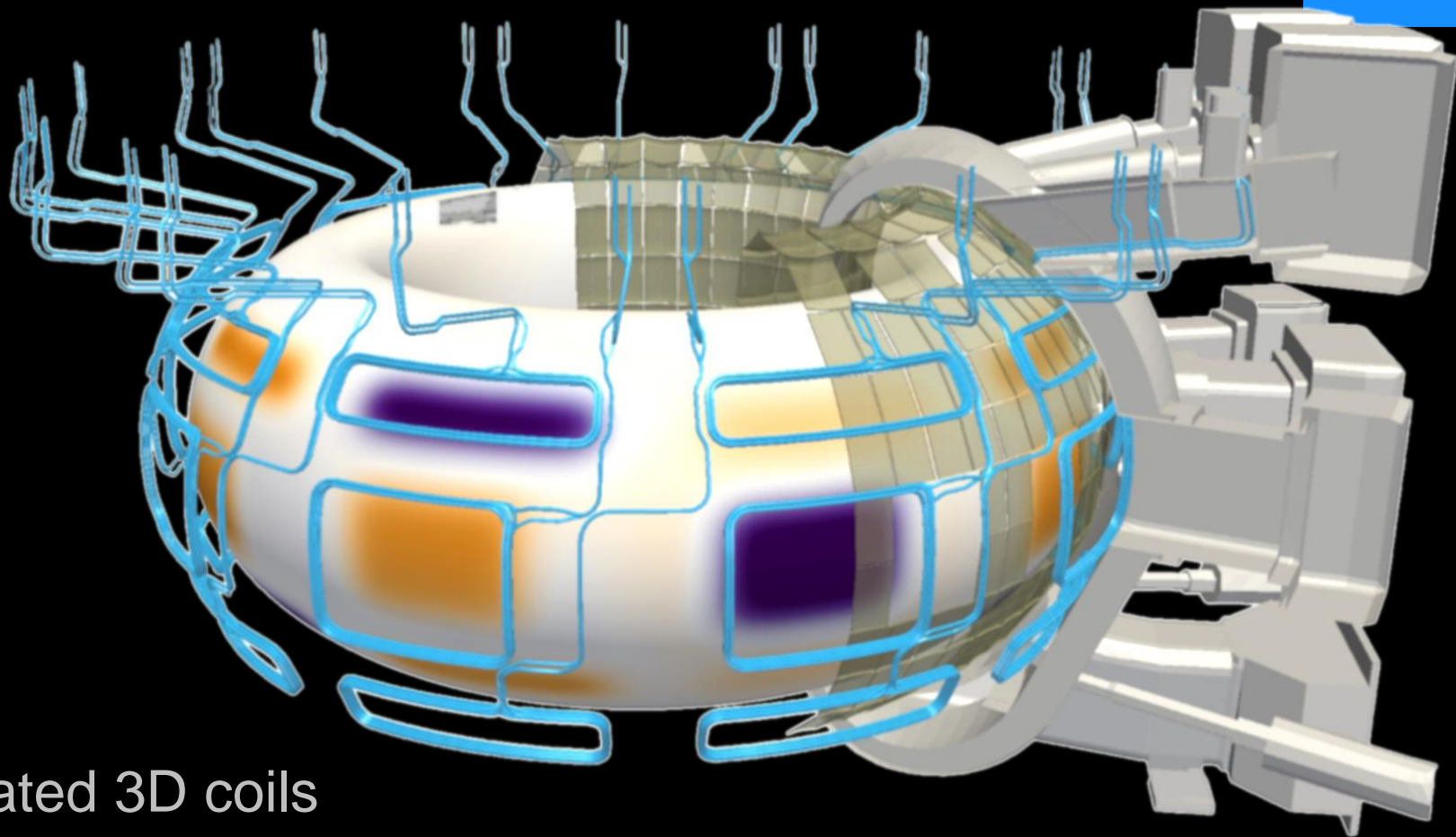
(uncertainty regarding the role of flows)

[T Eich et al, Nucl. Materials and Energy 12, 84 (2017)]

[S Pamela et al, NF 57, 076006 (2017)]



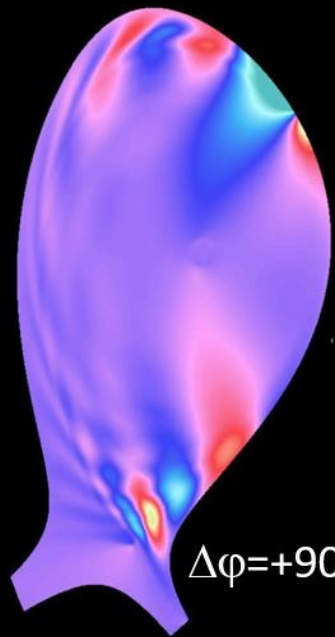
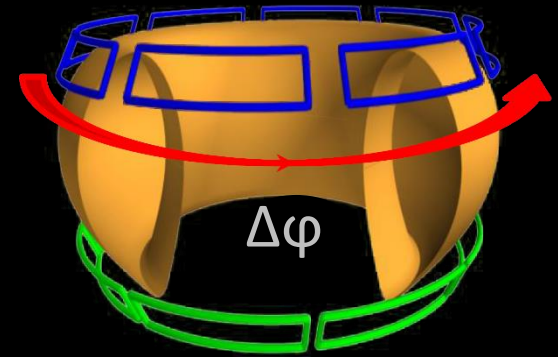
**What can we learn  
about ELM control?**



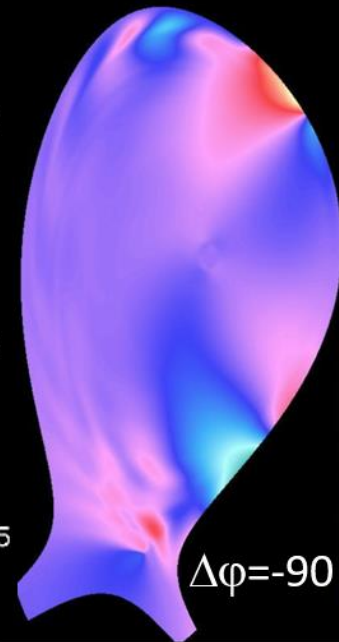
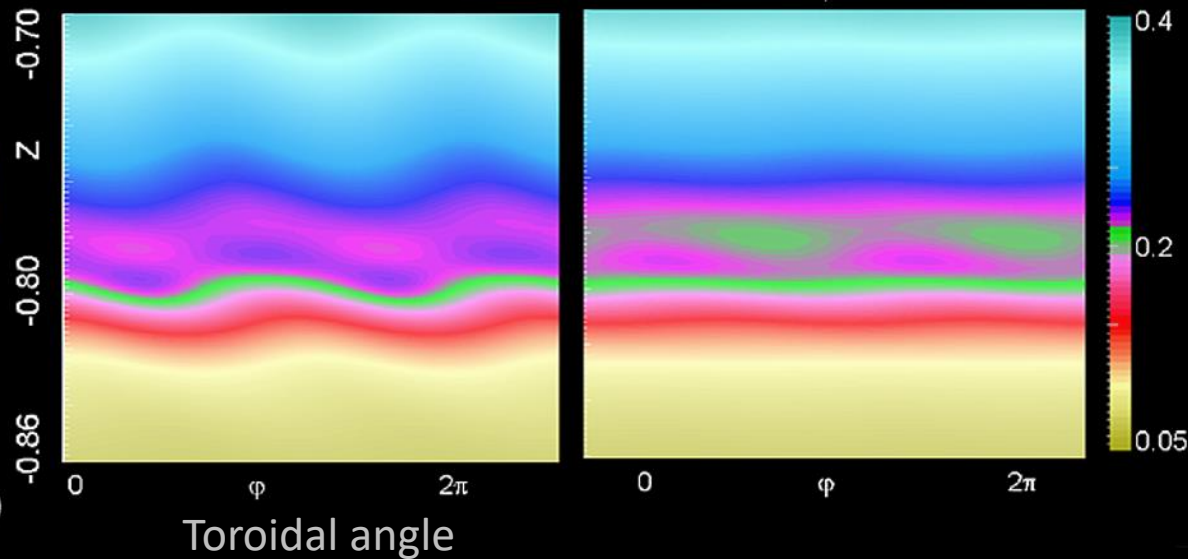
- Dedicated 3D coils
- Main ELM control method for ITER
- Demonstrated in many experiments already
- But: Low collisionality, high recycling, partially detached, also during ramp-up and ramp-down

Figure provided by GTA Huijsmans

- Large kink/peeling response (left) important for ELM stabilization
- Corresponds to large X-point corrugation



Density contours at the X-point



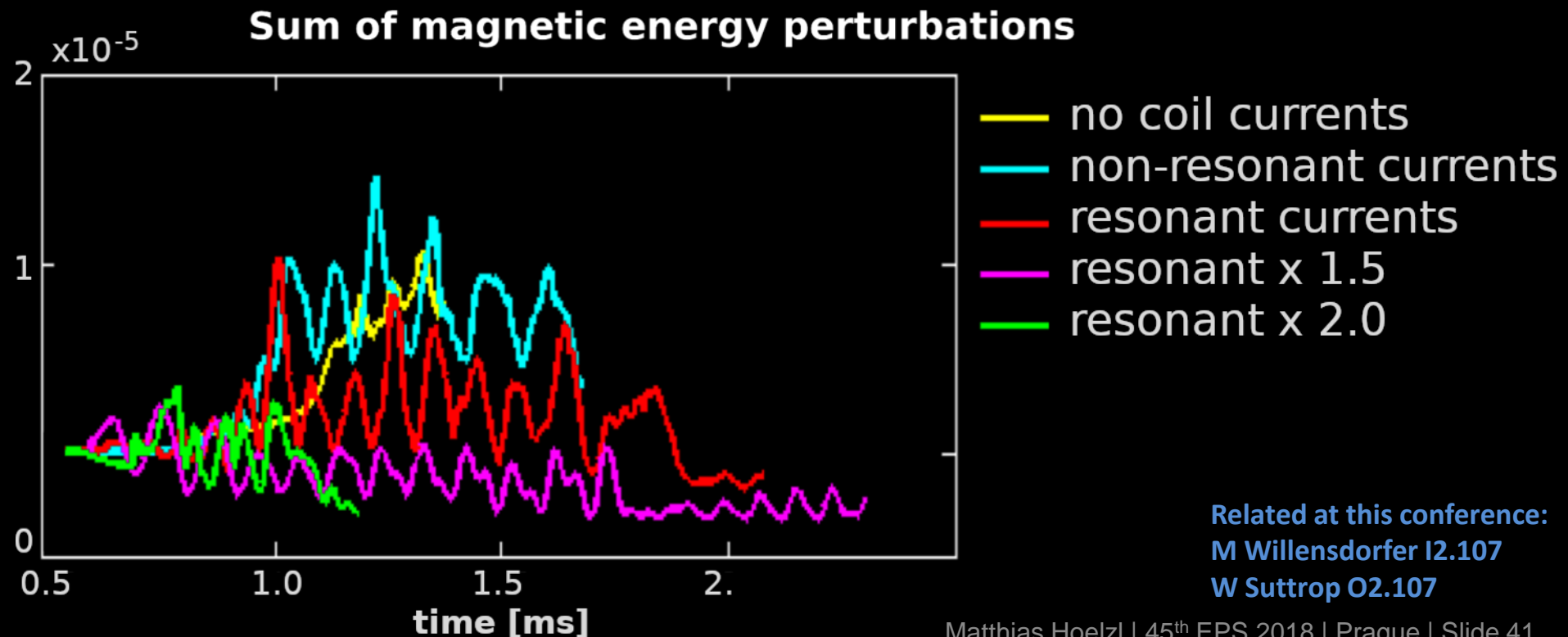




- Non-linear coupling of toroidal modes important for ELM mitigation / suppression**

[M Bécoulet, F Orain et al, PRL 113, 115001 (2014)]

[F Orain, M Hoelzl et al NF (in preparation)]

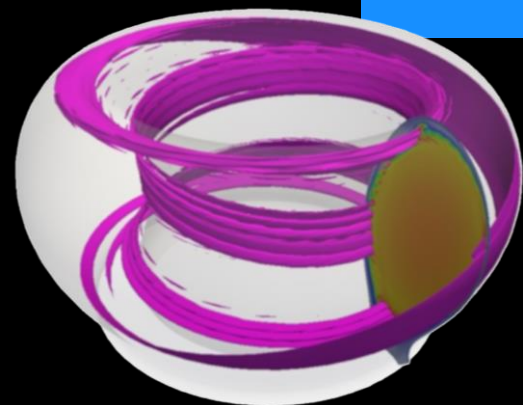




- ELM pacing by pellet injection

simulations e.g.:

[S Futatani, G Huysmans, et al, NF 54, 073008 (2014)]

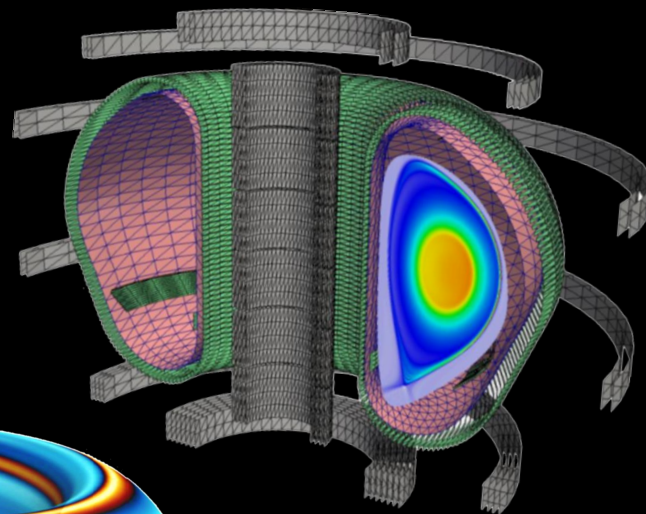


- ELM pacing by magnetic kicks

simulations:

[FJ Artola, GTA Huijsmans, M. Hoelzl et al, NF (accepted)]

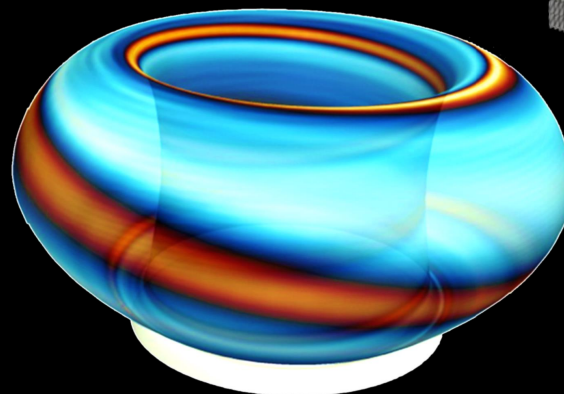
+ Presentation I2.109 at this conference



- ELM-free regimes

simulations e.g.:

[F Liu et al, PPCF 60, 014039 (2018)]



# Conclusions and Outlook

## Edge localized modes (ELMs)

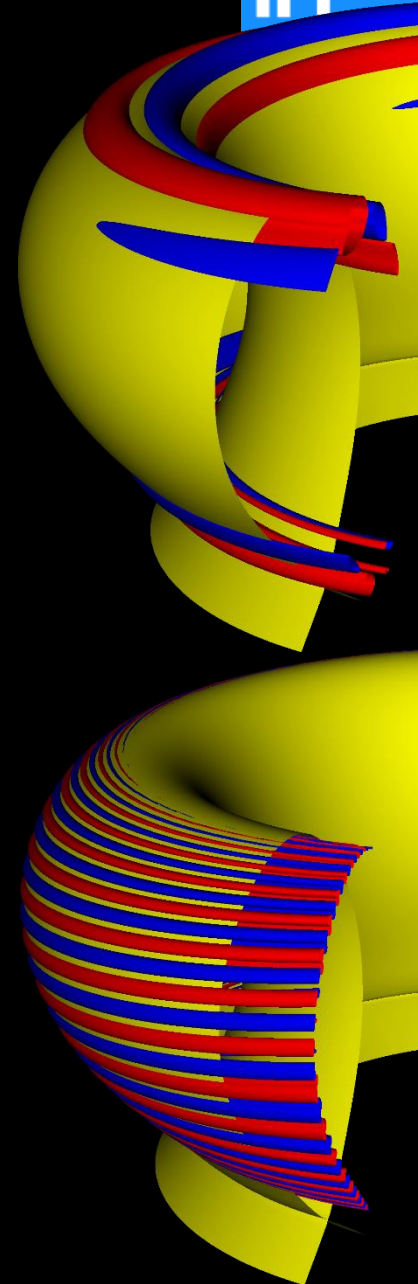
- Critical for ITER divertor
- Peeling-ballooning modes
- Important influence of plasma flows and mode coupling
- Filament formation
- Edge ergodization

## Non-linear MHD code JOREK

- ELM and disruption physics

## Simulations of ELMs and ELM control

- Good agreement on many key features
- Not yet fully predictive





- Further physics and numerics developments as well as validation on present experiments
- Full ELM cycles
- Free boundary effects
- Improved scrape-off layer physics
- Transport coefficients from turbulence codes
- Heavy impurity sources and transport
- ...



# EPS contributions directly related to JOEREK



**DC van Vugt**, GTA Huijsmans, M Hoelzl et al  
Coupled nonlinear MHD-particle simulations for ITER with the JOEREK + particle-tracking code (P1.1049)

**FJ Artola**, GTA Huijsmans, M Hoelzl et al  
An in depth look into the physics of ELM triggering via vertical kicks through nonlinear MHD simulations (I2.109)

**A Dvornova**, GTA Huijsmans, S Sharapov, M Hoelzl et al  
Modelling of TAE mode excitation with an antenna in X-point geometry (P2.1052)

**D Hu**, E Nardon, GTA Huijsmans et al  
JOEREK simulations of Shattered Pellet Injection with high Z impurities (P4.1043)

**S Smith**, S Pamela, et al  
Numerical Simulations of Edge Localised Modes in MAST-U Plasmas (P4.1061)

**M Hoelzl**, GTA Huijsmans et al  
Simulating tokamak edge instabilities: advances and challenges (I5.J601)

**D Meshcheriakov**, M Hoelzl, V Igochine et al  
Tearing mode seeding by resonant magnetic perturbations (P5.1033)

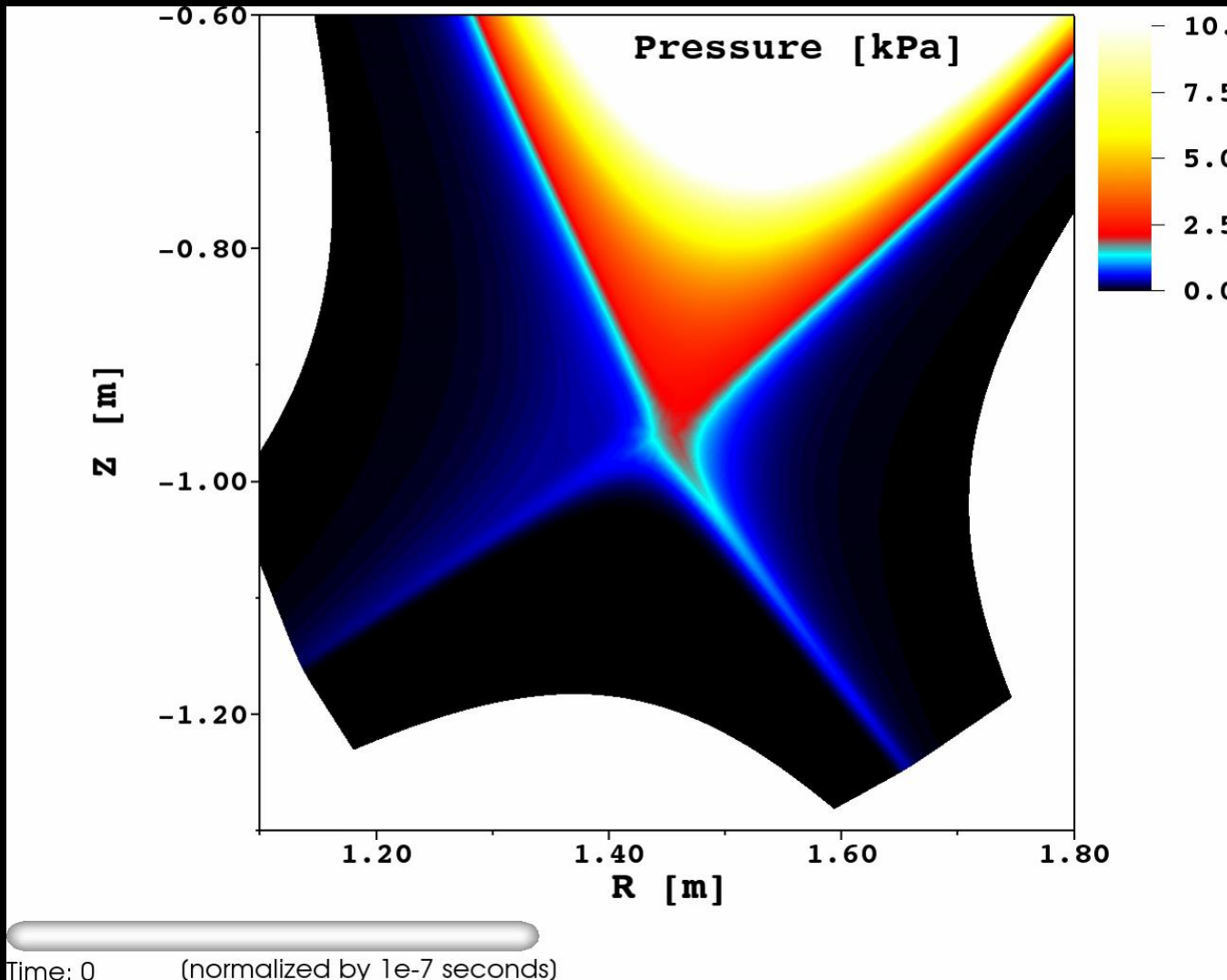


# Backup slides

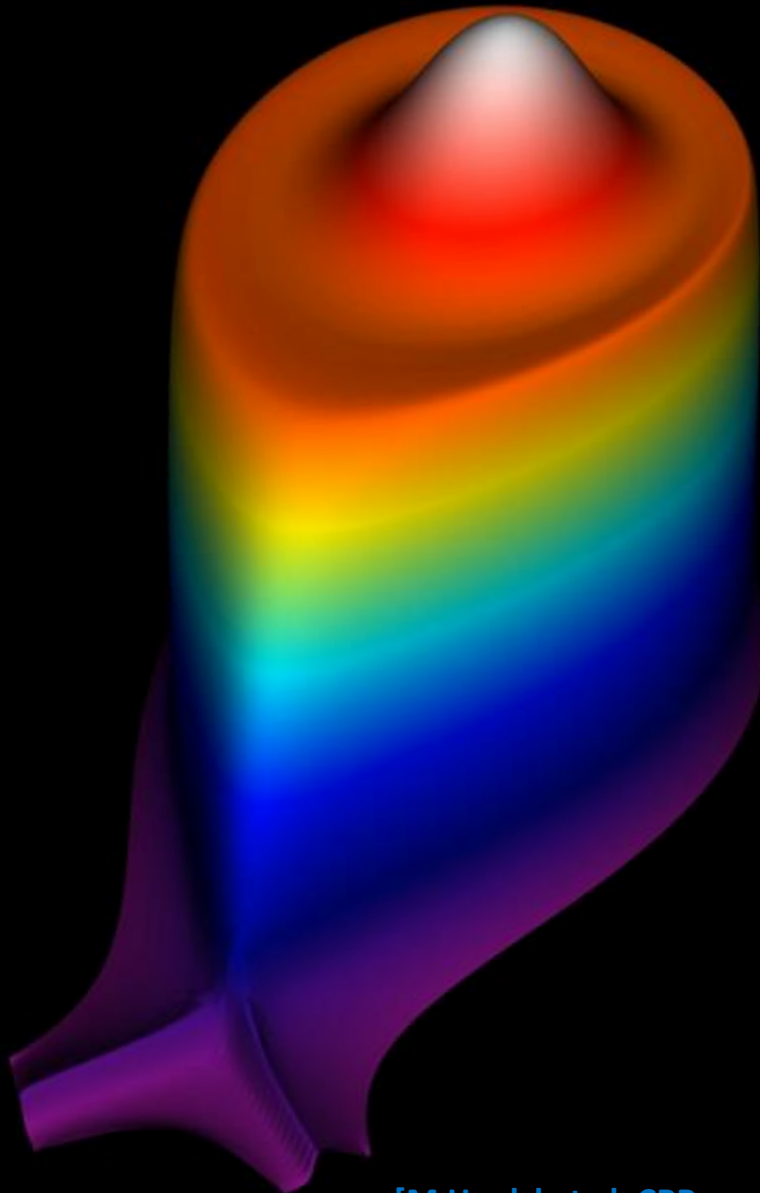




- Reduced MHD, ideal wall and divertor sheath boundary conditions [GTA Huysmans and O Czarny, NF 47, 659 (2007)]
- Two-fluid + neoclassical physics [F Orain et al, PoP 20, 102510 (2013)]
- Free boundary extension [M Hoelzl et al, JPCS 401, 012010 (2012)]
- Pellet ablation model [S Futatani et al, NF 54, 073008 (2014)]
- Full orbit particle model [DC van Vugt, et al, 44<sup>th</sup> EPS, P2.140 (2017)]
- Relativistic guiding center tracer [C Sommariva et al, NF 58, 016043 (2018)]
- Relativistic electron fluid model [V Bandaru et al (in preparation)]
- Neutrals model [A Fil et al, PoP 22, 062509 (2015)]
- Impurity fluid model [E Nardon et al, PPCF 59, 014006 (2016)]
- Full MHD [JW Haverkort et al, JCP 316, 281 (2016)]
- ...

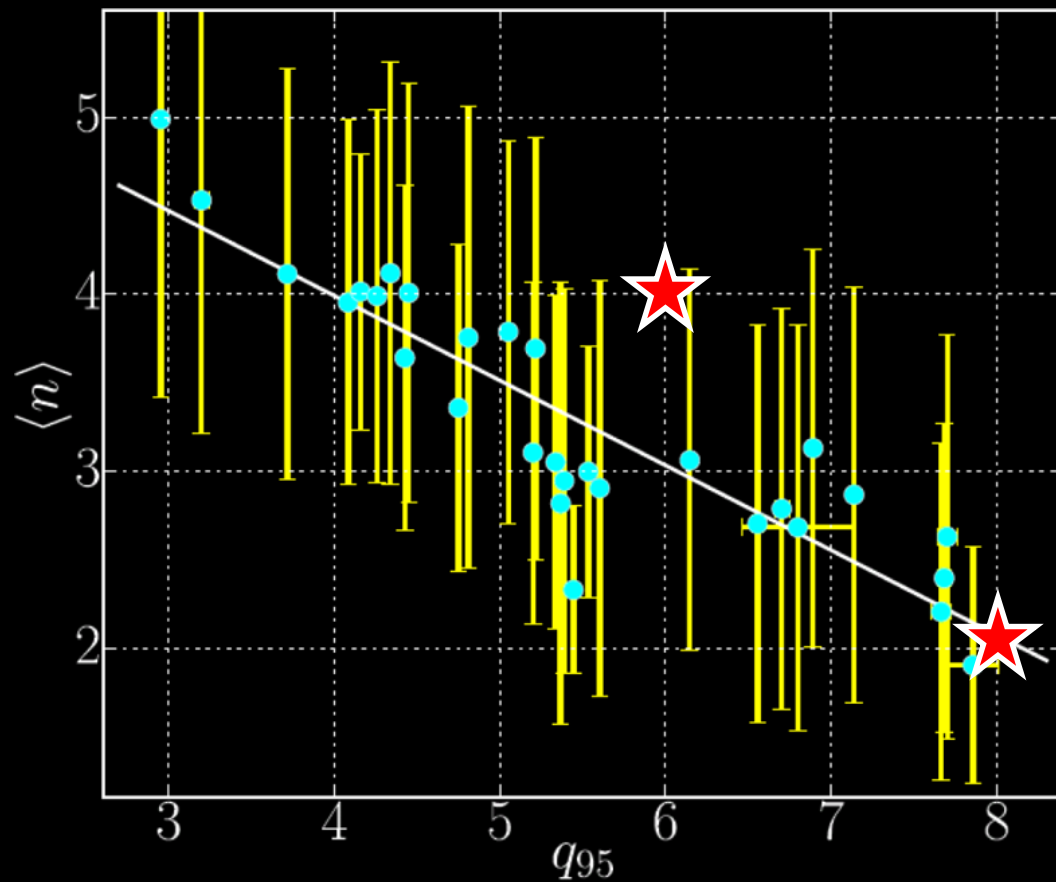


# Crash of density pedestal



*Evolution of the density distribution during a type-I ELM crash in ASDEX Upgrade*

[M Hoelzl et al, CPP;  
doi:10.1002/ctpp.201700142]



- Strong dependency of dominant mode number on  $q_{95}$  observed

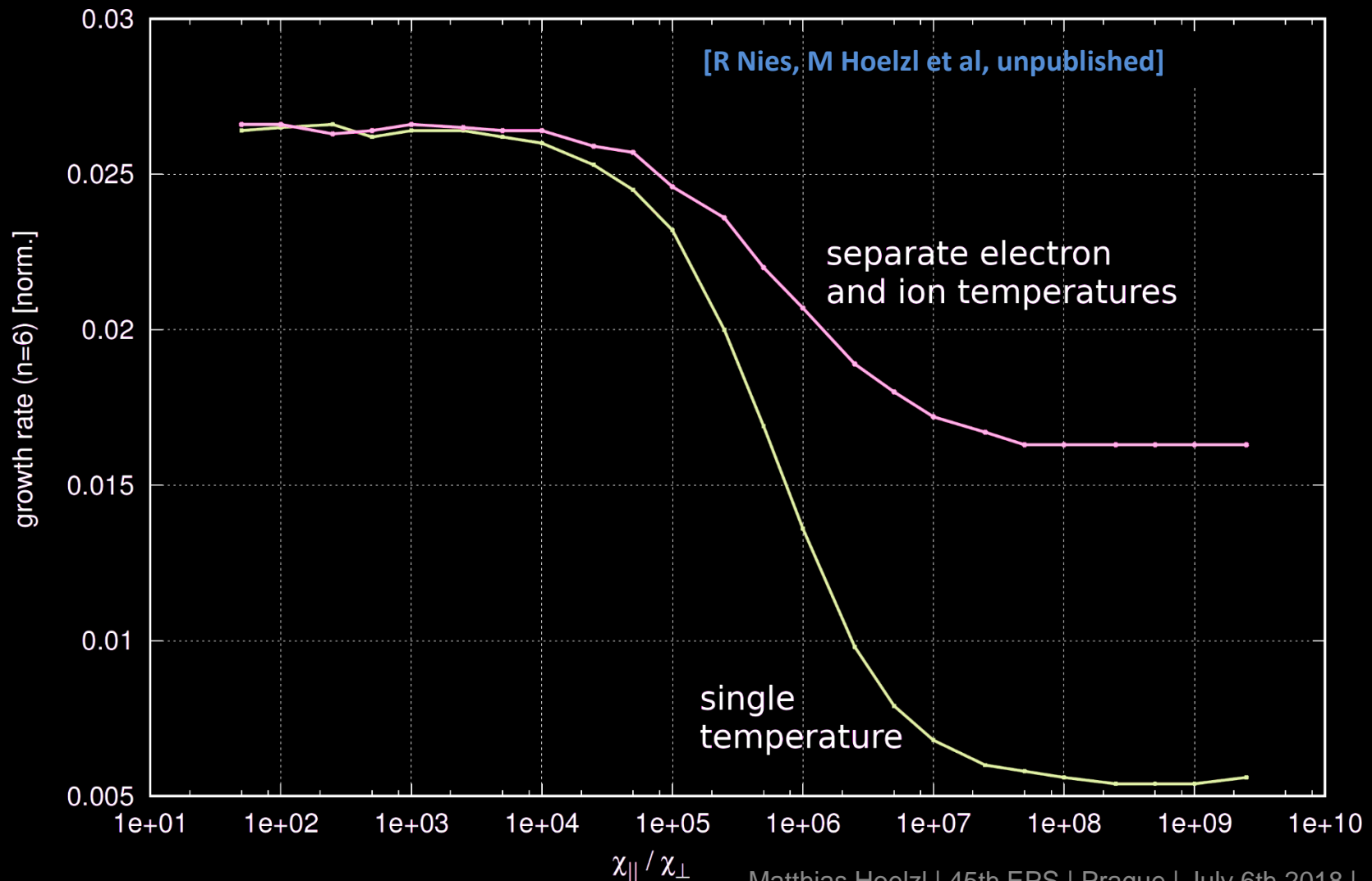
[Mink A.F., Wolfrum E., Dunne M., Hoelzl M., et al, PPCF (submitted) + Poster P2.1015 at this conference]

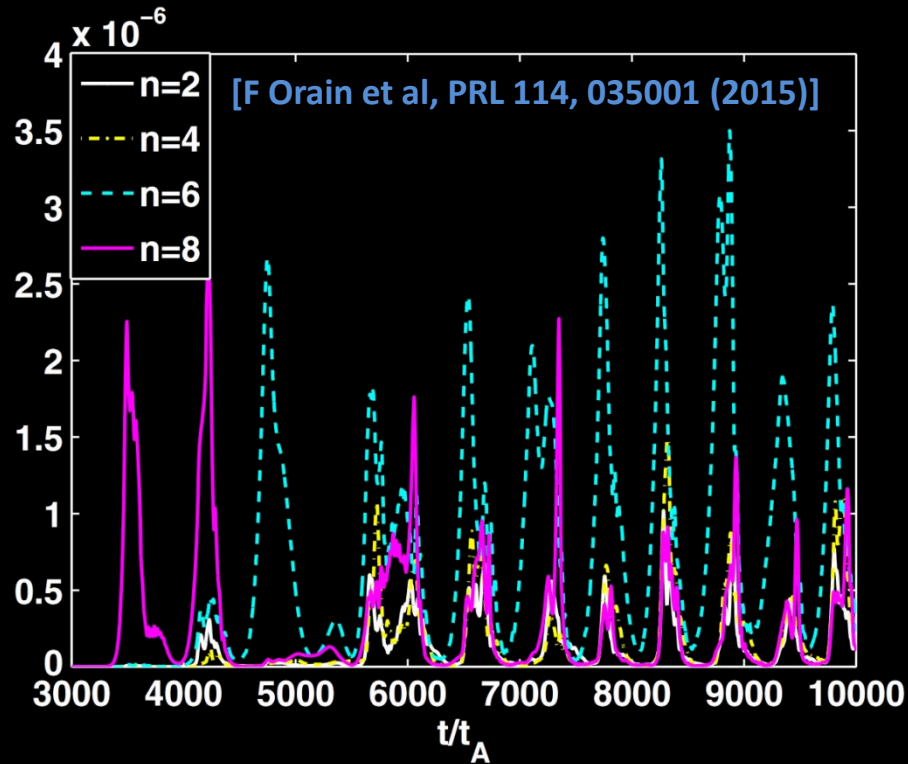
- Similar trend in simulations (★)

$q_{95}$  varied via scan in toroidal field strength in simulations

A one to one comparison requires simulations for different discharges due to the cross-correlation of  $q_{95}$  with other parameters and influence of “magnetic shear”

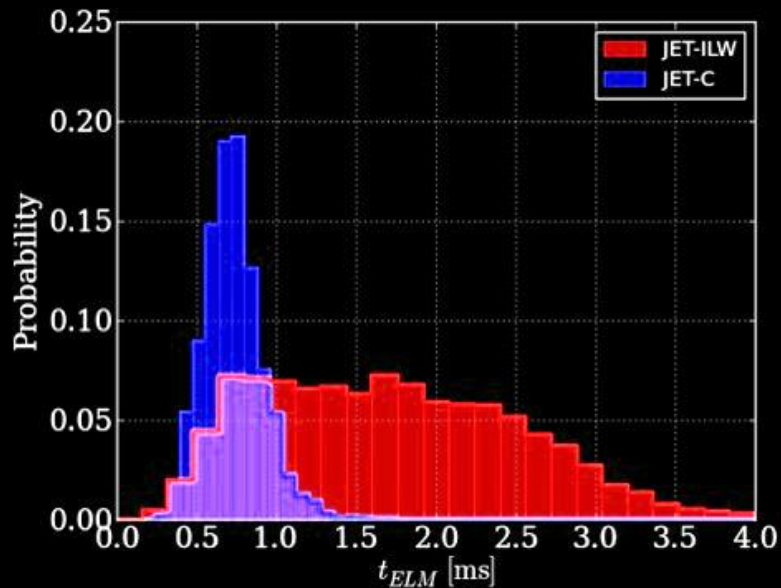
- Linear codes usually do not capture this correctly



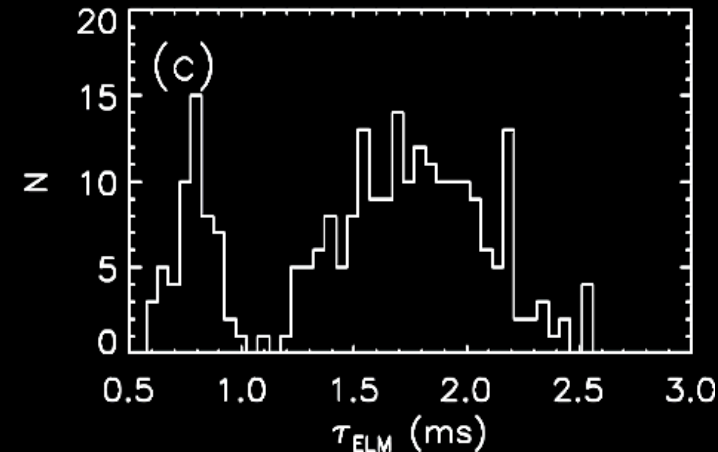


Large number of experimental findings for ELM cycle will allow to validate simulations properly

- Decay well below stability threshold
- Short and long ELMs in experiment

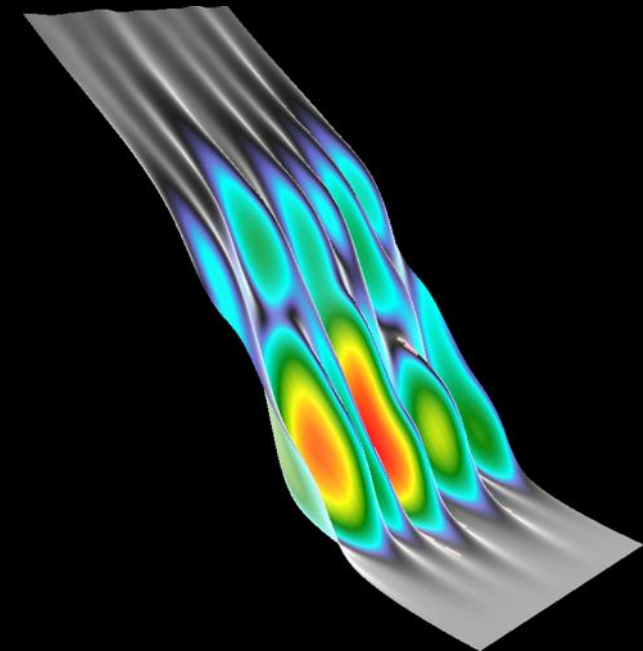


[B Sieglin et al, PPCF 55, 124039 (2013)]

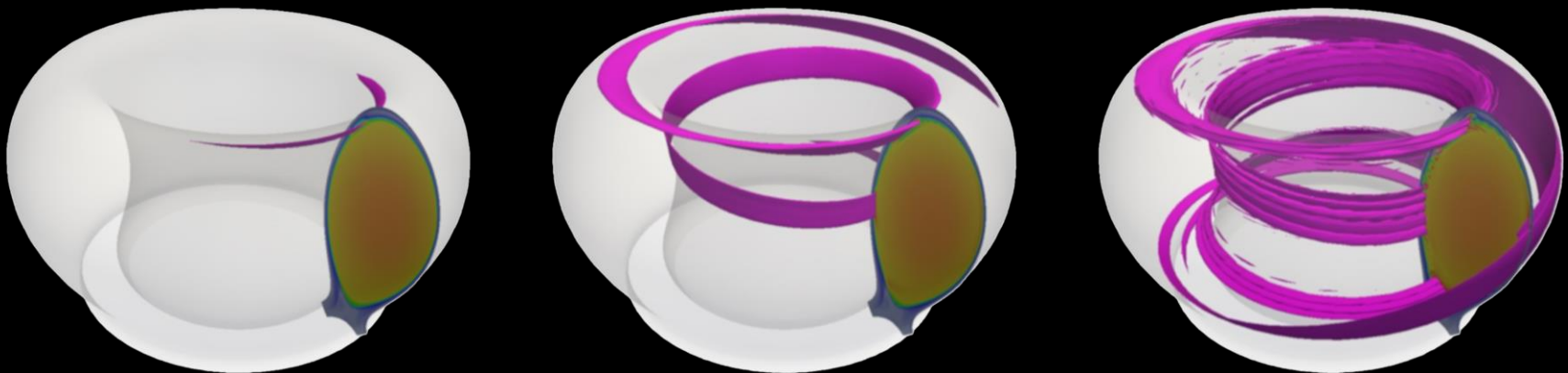


[L Frassinetti et al, NF 57, 022004 (2017)]

- Stabilizing: Reduced pressure gradients and current densities
- Destabilizing: Reduced plasma flows, large local gradients

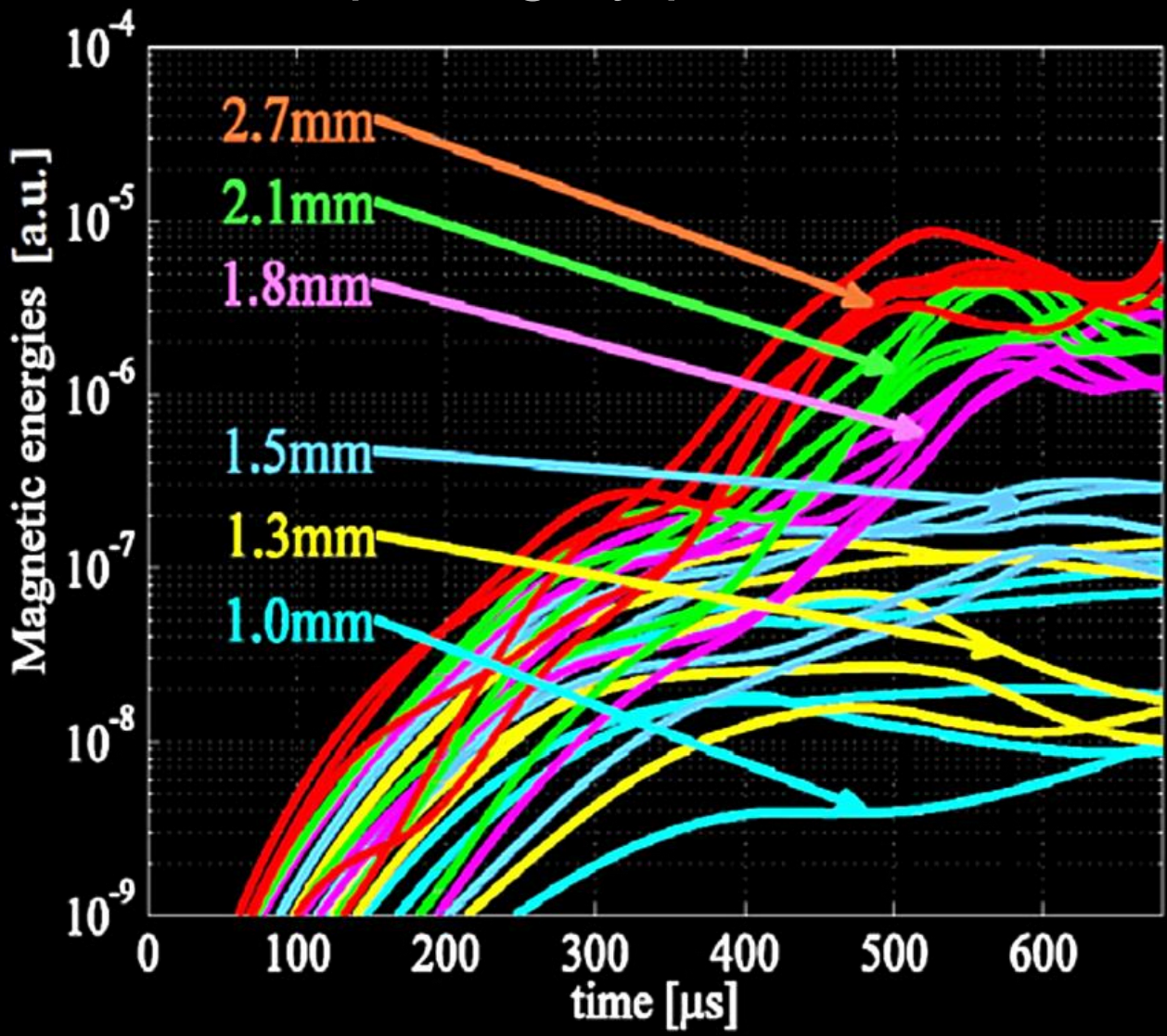


- Backup method foreseen in ITER
- Allows to reduce ELM size [P Lang et al, NF 44, 665 (2004)]
- ELM destabilized by 3D pressure perturbation:
  - Adiabatic ablation in pellet cloud
  - Density increases, temperature drops
  - Local re-heating by parallel transport faster than density spreading [S Futatani, G Huysmans, et al, NF 54, 073008 (2014)]





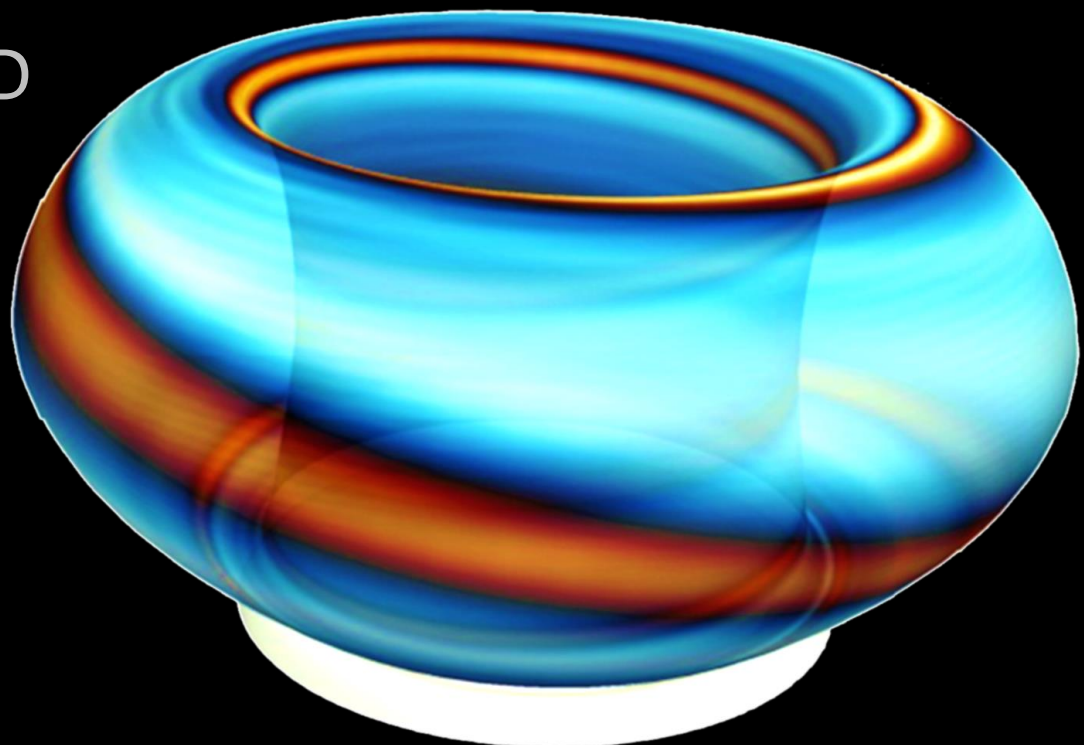
# ELM pacing by pellets



[S Futatani, G Huysmans, et al, NF 54, 073008 (2014)]



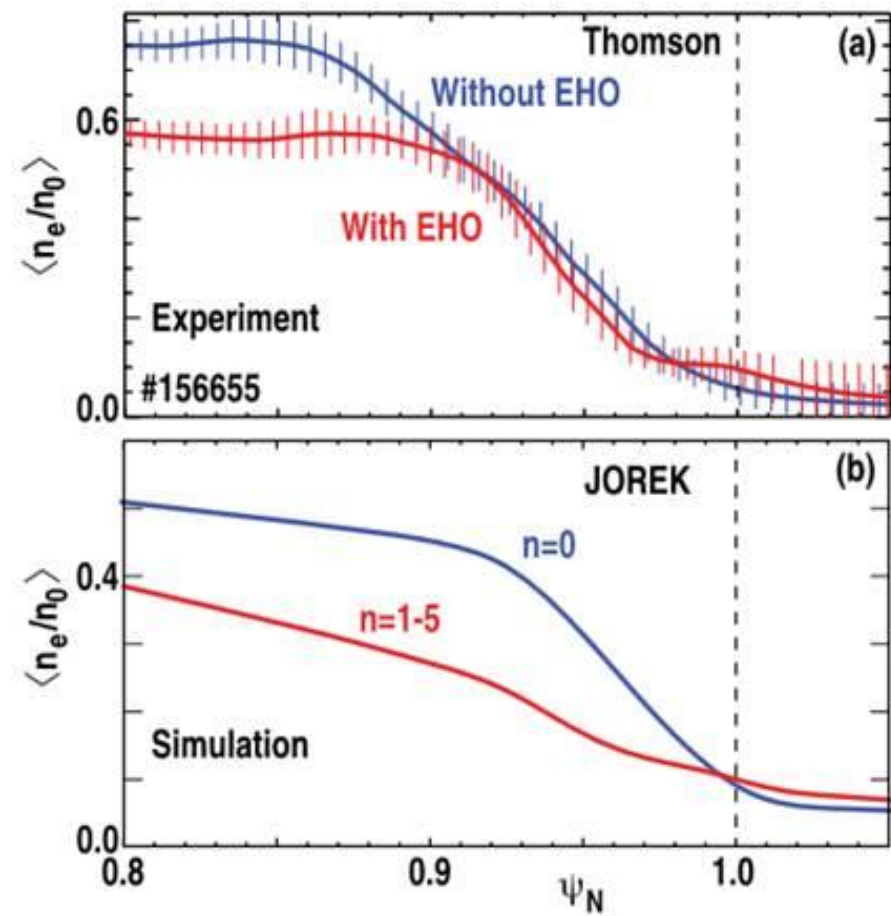
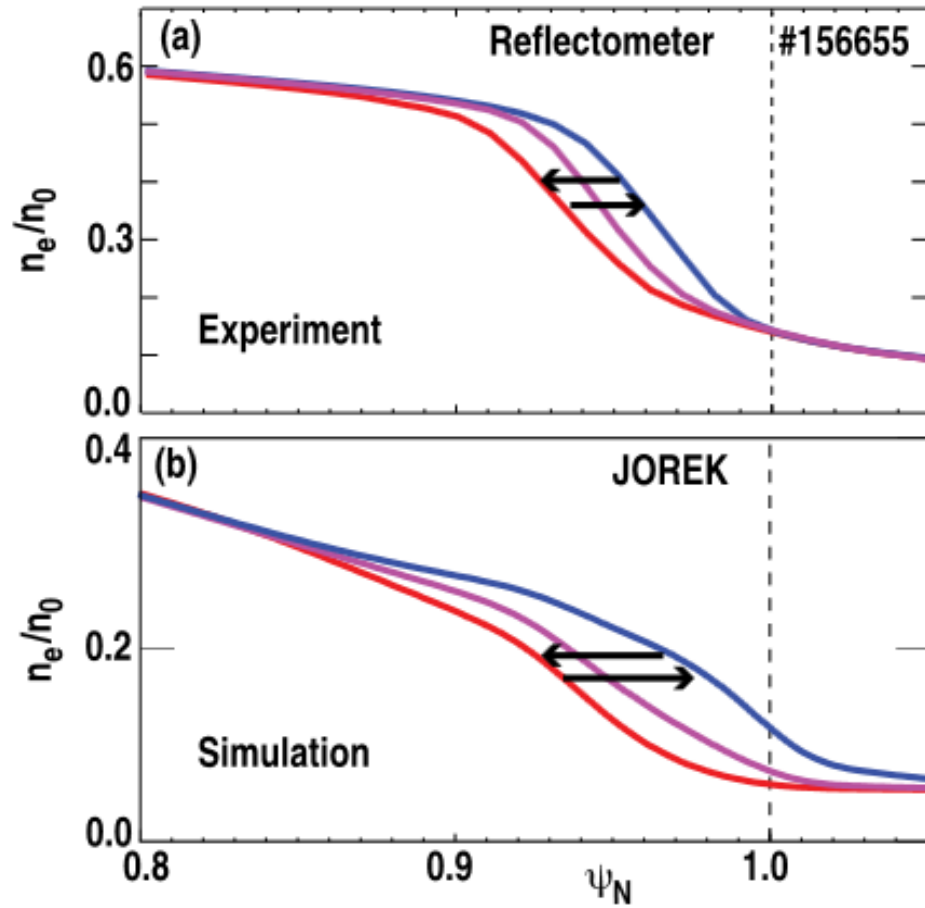
- First observed at DIII-D  
[CM Greenfield et al, PRL 86, 4544 (2001)]
- Key to access:  
Plasma shaping,  
shear flows,  
field direction
- Not excluded for ITER



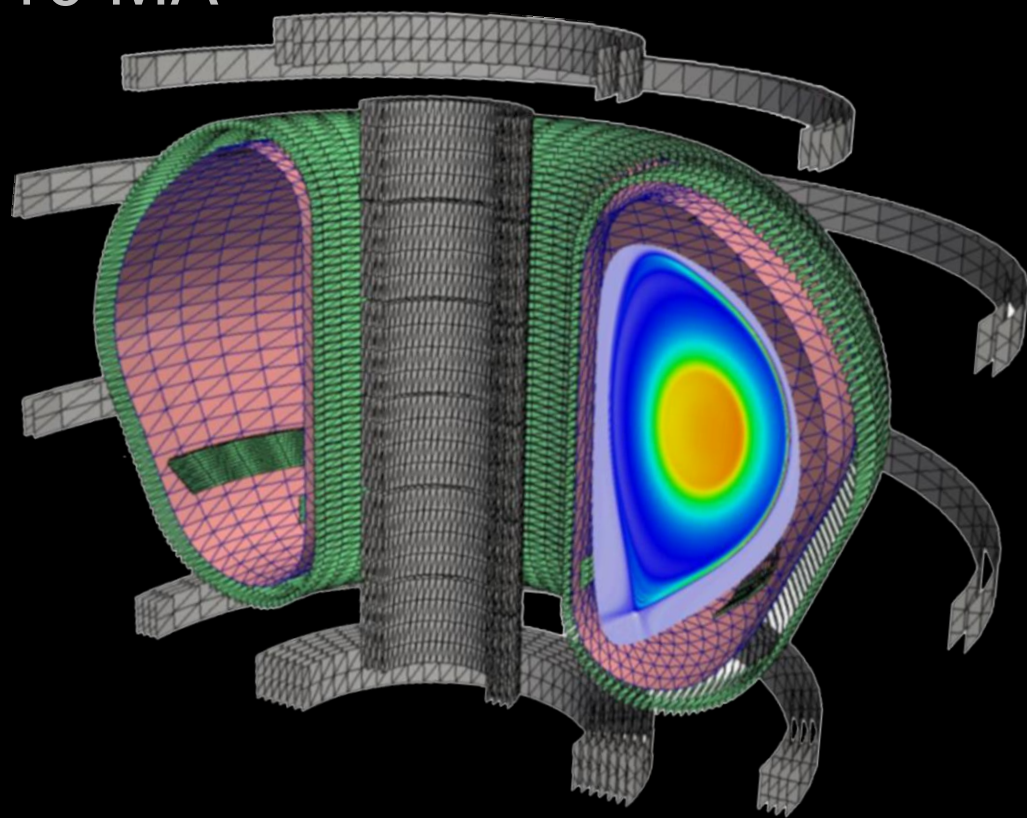
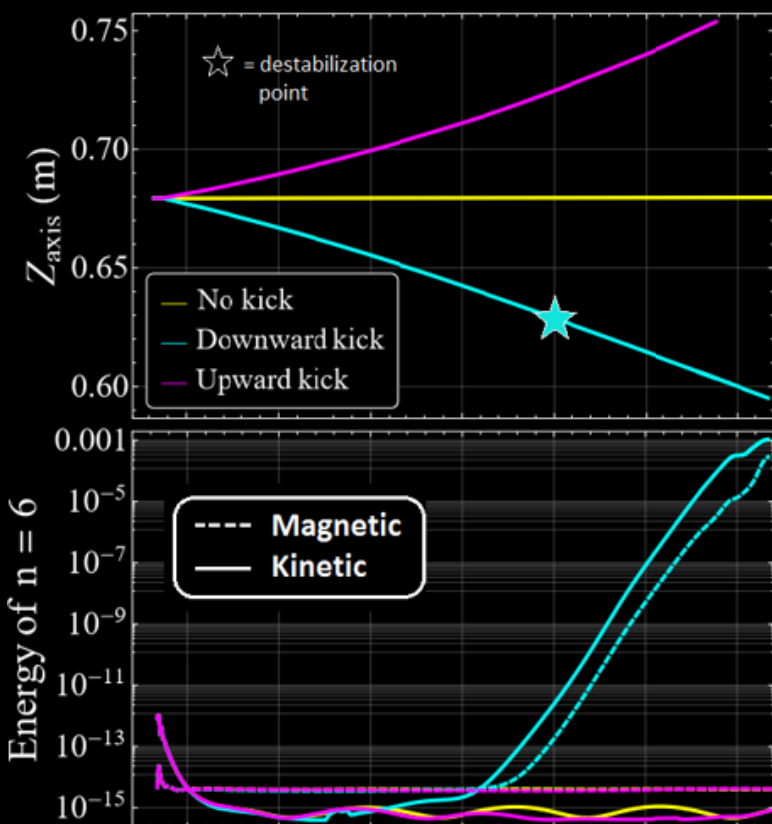
- Key features reproduced in simulations
- “Edge harmonic oscillation” of density caused by saturated rotating modes

[F Liu et al, NF 55, 113002 (2015)]

[F Liu et al, PPCF 60, 014039 (2018)]

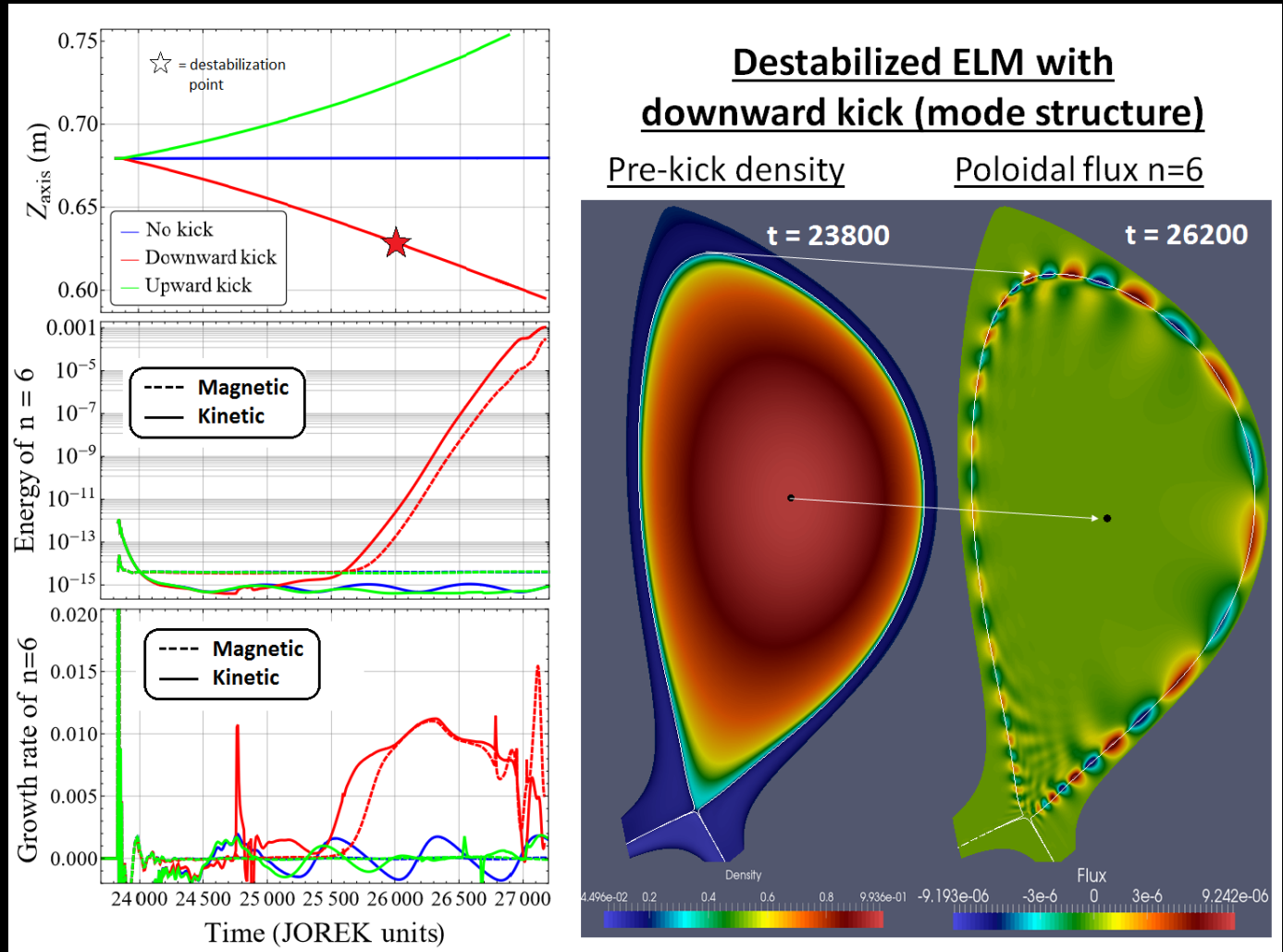


- First demonstrated in TCV [AW Degeling, PPCF 45, 1637 (2003)]
- Option for ITER up to 10 MA
- Induced edge current destabilizes ELM

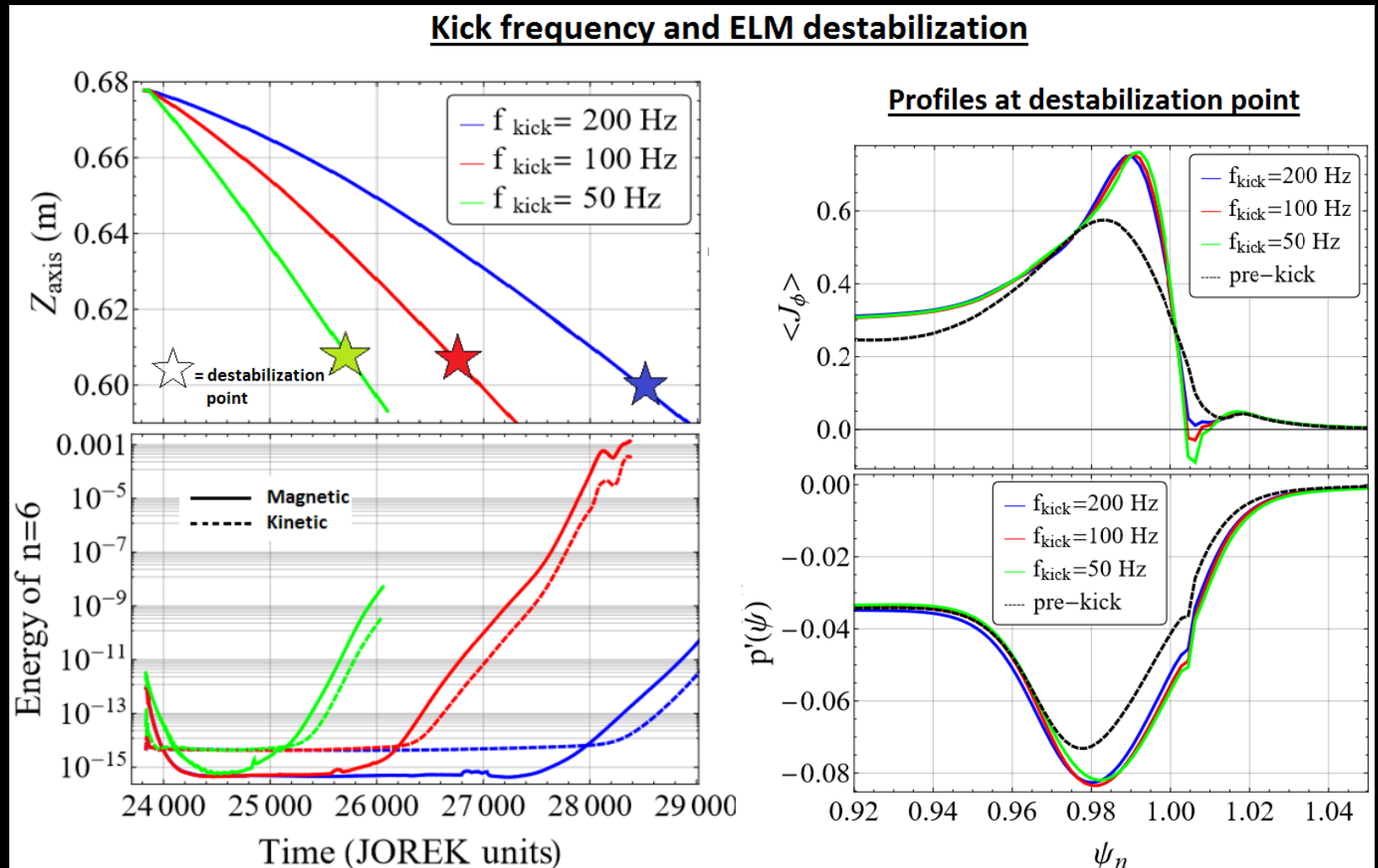


[FJ Artola, GTA Huijsmans, M. Hoelzl et al, NF (accepted)]  
+ Presentation I2.109 at this conference

[FJ Artola et al]

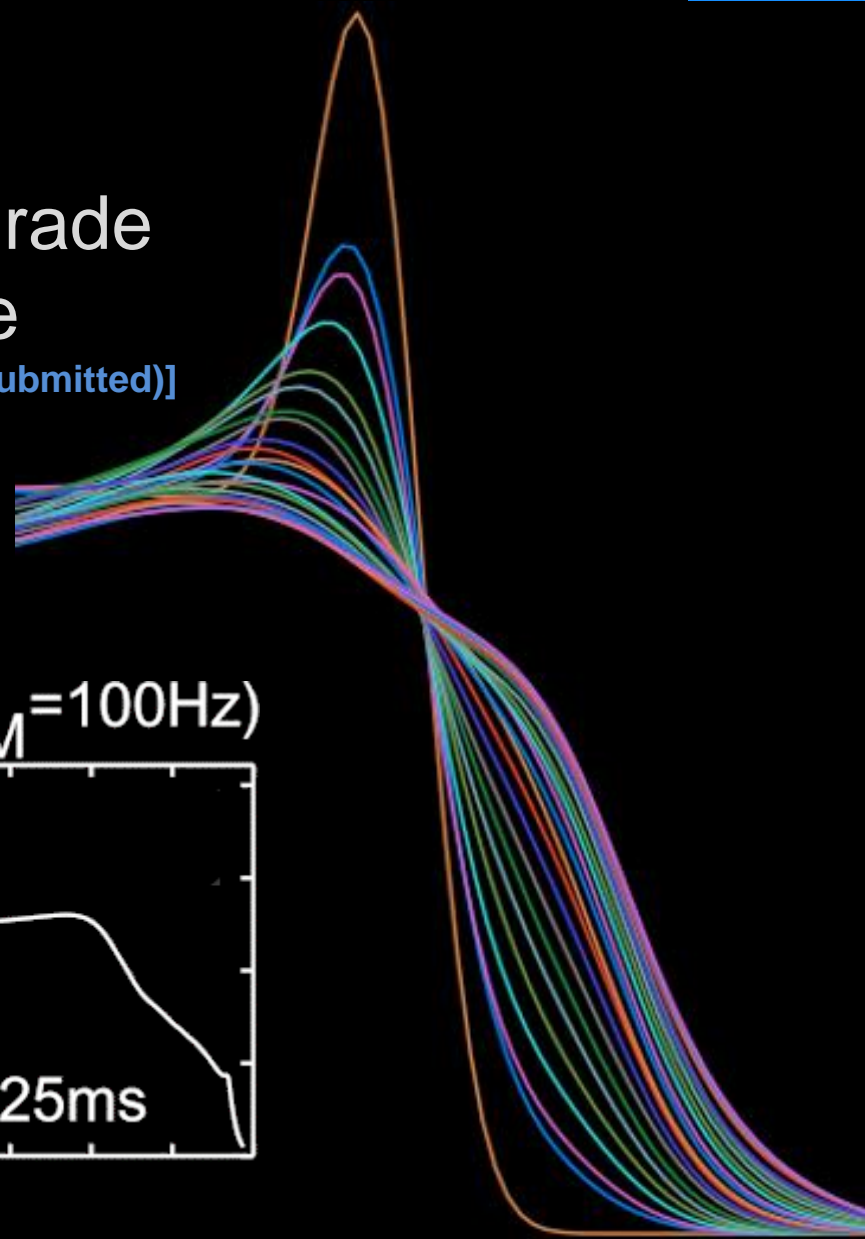


[FJ Artola et al]

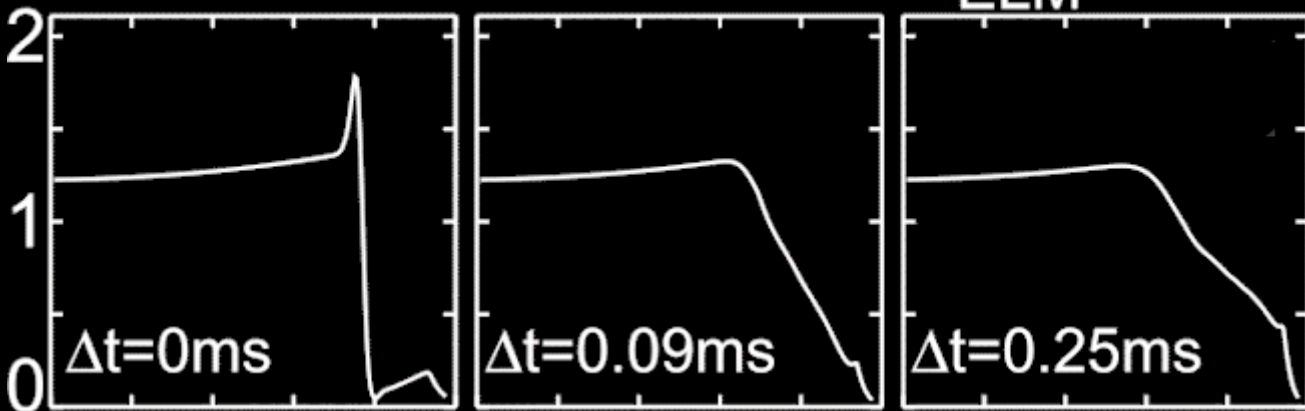


- Simulation of the Tungsten transport in an ASDEX Upgrade ELM case: ExB interchange

[DC van Vugt, GTA Huysmans, M Hoelzl et al, NF (submitted)]  
 + Poster P1.1049 at this conference



#22895 ( $f_{ELM} = 100\text{Hz}$ )

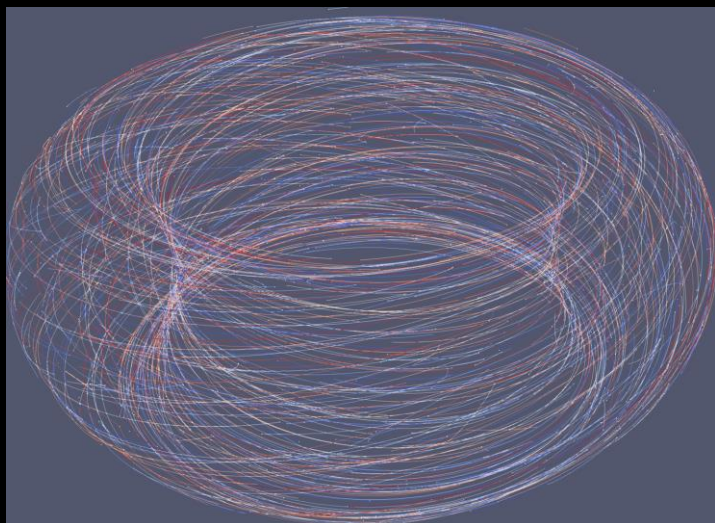


[R Dux et al, NF 51, 053002 (2011)]



[D van Vugt et al]

- Couple JOREK MHD solver with particle tracking code
- Follow particles in time-varying electromagnetic fields
  - 6D Full-Kinetic (Boris method)
  - 5D Fieldline tracer (Adams-Bashforth, forward Euler)
- Ionisation/recombination with OPEN-ADAS coefficients
- Particle-background collisions with binary collision model
- Feed-forward now, feedback to MHD underway



Applications:

- W impurity transport (in ELMs)
- W radiation impact on MHD
- Fast ions, impact on MHD (A. Dvornova)
- Runaway electrons (C. Sommariva)
  
- Delta-f contribution in MHD equations
- Divertor physics



[D van Vugt et al]

